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SOUTHWEST PSYCHOANALYTIC SOCIETY

# Research Methods in Transference Focused Psychotherapy

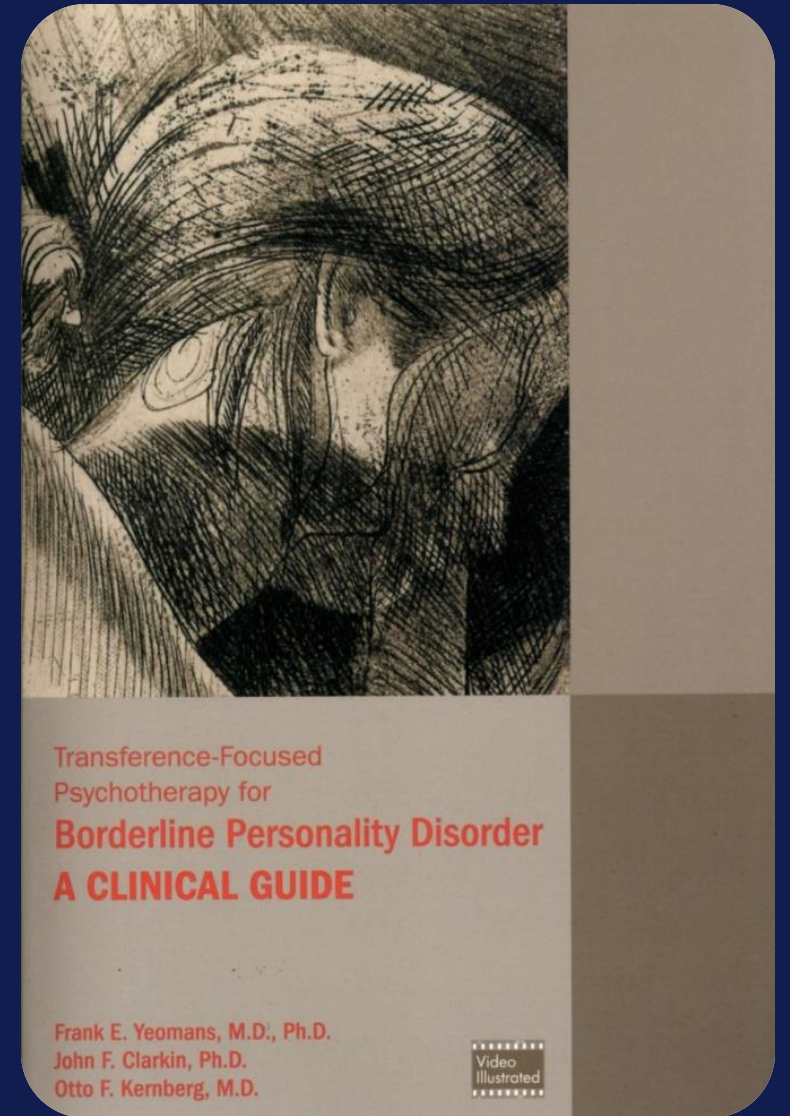
**Stephan Doering, MD**

October 5, 2024

# Southwest Summit on Transference Focused Psychotherapy for Borderline and Other Personality Disorders

## Research Methods in TFP

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# Part I: Diagnosis

Structural interview, STIPO, IPO



## Structural Interviewing

Otto F. Kernberg, M.D.\*

### Summary of previous work

In earlier work,<sup>3</sup> I proposed that an understanding of the intrapsychic structural characteristics of patients with borderline personality organization can contribute to the differentiation of borderline disorders from the symptomatic neuroses and neurotic character pathology on the one hand, and from the psychoses, particularly schizophrenia and manic depressive illness, on the other. Structural diagnosis, that is, the diagnosis of an overall intrapsychic organization that provides stability, continuity, and sameness throughout time, is facilitated by a special type of clinical interview—a “structural interview”—that focuses sharply on the relation between the interaction of patient and diagnostician, the patient’s interpersonal functioning in general, and the history of the present illness.

Three broad structural organizations correspond to neurotic, borderline, and psychotic disorders. Such structural organization constitutes a stabilizing function of the mental apparatus, mediating between etiologic factors and direct behavioral manifestations of illness. Regardless of the relative contributions of genetic, constitutional, biochemical, familial, psychodynamic, or psychosocial factors to etiology of the illness, the effects of them all are eventually reflected in the type of overall psychic structure. The psychological functioning of the individual is stabilized in terms of this structure, which then becomes the underlying matrix from which behavioral symptoms develop.

I described three overriding structural characteristics: (1) identity integration versus identity diffusion (and the related overall quality of object relations), (2) a constellation of advanced or primitive defensive operations, and (3) presence or absence of reality testing. Jointly, these characteristics differentiate neurotic, borderline, and psychotic structural organization. Individuals with neurotic personality structure present an integrated identity, in contrast to those with borderline or psychotic structures. Individuals with neurotic personality structure also present a defensive organization centering

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1981, Psychiatric Clinics of North America 4(1): 169-195

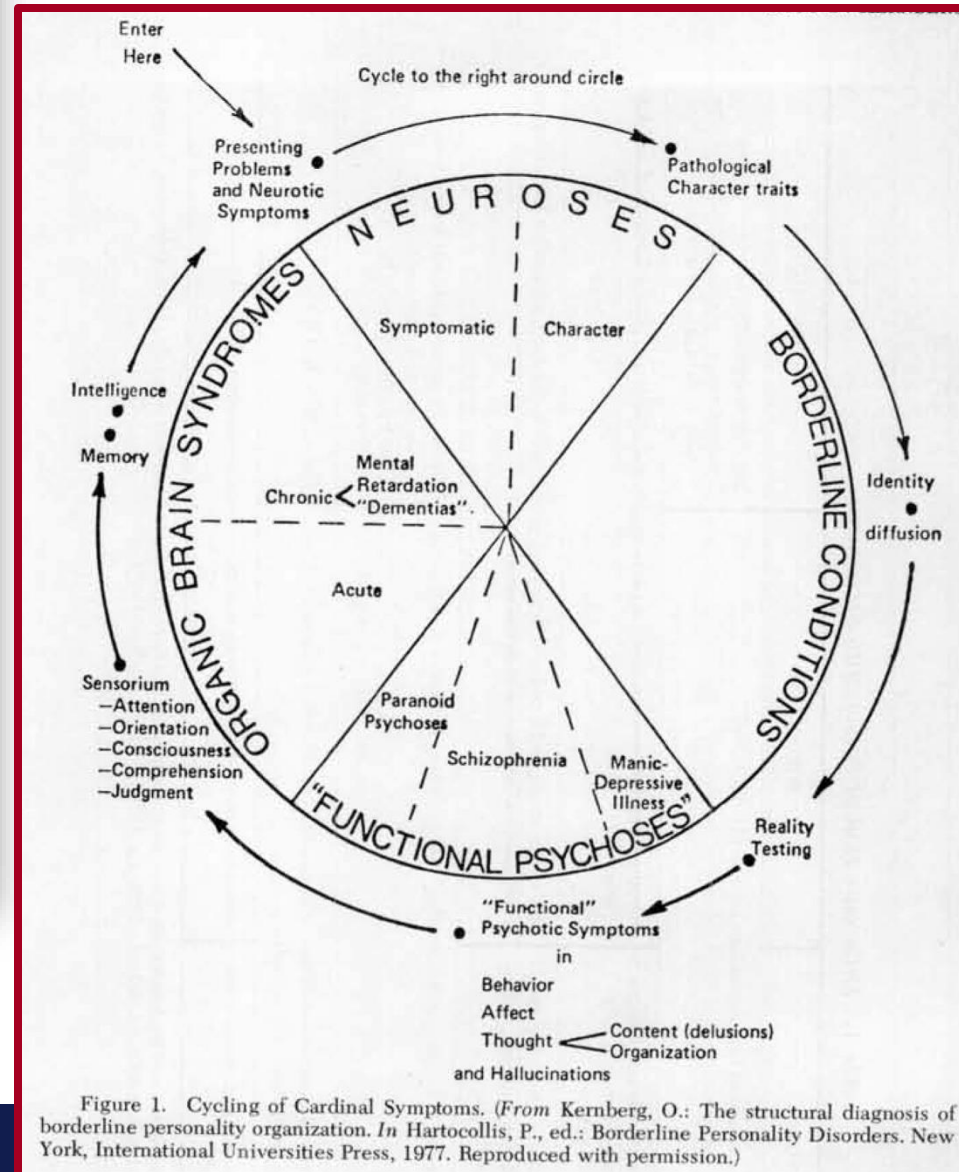
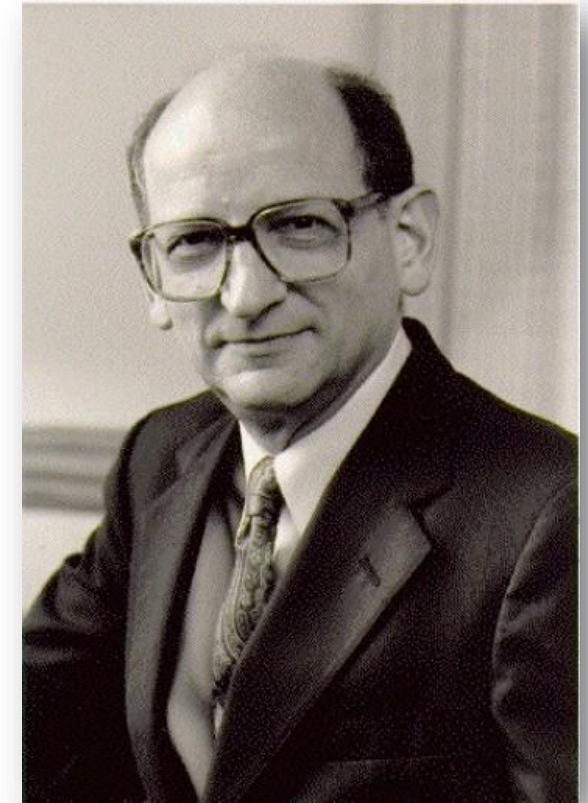


Figure 1. Cycling of Cardinal Symptoms. (From Kernberg, O.: The structural diagnosis of borderline personality organization. In Hartocollis, P., ed.: Borderline Personality Disorders. New York, International Universities Press, 1977. Reproduced with permission.)



Otto Kernberg (\*1928)

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STRUCTURED INTERVIEW OF PERSONALITY ORGANIZATION-R: STIPO-RSummary of Measure

Identity	15 items
Capacity to invest	4 (1-4)
Sense of self	7 (5 - 11)
Representation of other	4 (12 - 15)
Object relations	14 items
Interpersonal relationships	4 (16 - 19)
Sex and intimacy	5 (20 - 24)
Internal investments in others	6 (25 - 30)
Lower-level "Primitive" Defenses	6 (31 – 36)
Higher-Level Defenses	4 (37 – 40)
Aggression	9 items
Self directed	4 (41 - 44)
Other directed	5 (45 - 49)
Moral values	6 items (50 - 55)

**Narcissism item #'s - 11 items**

1. 3 Capacity to invest in work / school - satisfaction
2. 9 Sense of Self - need for admiration
3. 11 Sense of Self - fluctuation in self-esteem
4. 25 Object relations, Internal Working Model of Relationships – self centeredness
5. 26 Object relations, Internal Working Model of Relationships – boredom
6. 29 Object relations, Internal Working Model of Relationships – economic view
7. 30 Object relations, Internal Working Model of Relationships – empathy
8. 32 Primitive Defenses - Idealization / Devaluation
9. 36 Primitive Defenses – Narcissistic Fantasy
10. 46 Aggression - Envy
11. 55 Moral Values – Exploitation

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## 1. Identity

- 1.A. Capacity to invest
- 1.B. Sense of self
- 1.C. Sense of others

## 2. Object relations

- 2.A. Interpersonal relationships
- 2.B. Sex and intimacy
- 2.C. Internal investments in others

## 3. Lower level „primitive“ defenses

## 4. Higher level defenses

## 5. Aggression

- 5.A. Self-directed
- 5.B. Other-directed

## 6. Moral values



John Clarkin

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SECTION 3: OBJECT RELATIONS

Interpersonal Relationships / Friendships

16.	<p><b>Do you have friends?</b></p> <p><i>(Note: This question assesses the presence of friends, excluding spouses, girlfriends / boyfriends, and siblings, children.)</i></p> <p><b>If yes,</b></p> <p>Tell me about the one or two people you are closest to and what your relationship with them is like.</p> <p><b>Probe:</b> for each of the friends identified, inquire about:</p> <p>Duration of the friendship; Mode and frequency of contact, e.g., by phone or in person; Consistency of contact over time, e.g., in and out of touch?</p>
<i>Object Relations</i>	
<i>Friendships</i>	
<i>Presence</i>	
	0= <i>At least 2 friends, as characterized by duration, frequency / regularity of contact over past 5 year</i>
	1= <i>No more than 1 friend who is not a family member, or presence of several relationships characterized as friendships that appear to be more like acquaintances, with impoverished descriptions according to the above criteria</i>
	2= <i>No friendships meeting any of the qualities of duration, frequency of contact, as described above</i>

**Note:** This item is simply a measure of social connectedness versus isolation.

17.	<p>You've mentioned your relationship with ____ and _____. Would you say that these are close relationships? In what ways are you "close"?</p> <p><b>Would you say that your relationship with ____ and ____ is characterized by intimacy and trust?</b></p> <p>Can you open up and share important things with ____?</p> <p>Does ____ share important things about his/her life with you?</p> <p><b>Note:</b> Probe these questions for up to two closest friends mentioned in #16.</p> <p><b>Can / Could you depend upon ____?</b></p> <p><b>If yes,</b> in what ways do you depend upon ____?</p> <p><b>Are your relationships with ____ and ____ filled conflict, volatility, and drama?</b></p>
<i>Object Relations</i>	
<i>Friendships</i>	
<i>Closeness</i>	
	0= <i>Interdependence, intimacy, and disclosure; absence of drama, sustained conflict</i>
	1= <i>Somewhat flawed sense of interdependence, intimacy, or disclosure; limited in terms of reciprocal nurturance and support</i>
	2= <i>Significantly to severely flawed with respect to interdependence, intimacy and/or disclosure; highly superficial; volatile, chaotic</i>
	9= <i>Question skipped – no friendships identified in question #16</i>



SECTION 4: LOWER-LEVEL (“PRIMITIVE”) DEFENSES

<p>31.</p> <p><i>Lower-level Defenses</i></p> <p><i>Paranoia</i></p>	<p><b>Would you consider yourself someone who is cautious about what other people know about you; would you call yourself “guarded”?</b></p> <p>Are you someone who is suspicious about other people, concerned about their motives, perhaps afraid that if you let down your guard you could be easily taken advantage of or hurt?</p> <p><b>If yes,</b></p> <p>Can you describe for me the ways in which you tend to be guarded or cautious?</p> <p>Is this because you are afraid that people will manipulate you or that the information you reveal will be used against you?</p> <p>Is this guardedness present across all or most relationships, or would you say that there are some relationships where this is not the case, where you are more open and less cautious?</p>
	<p>0= <i>Little to no sense of being unusually guarded; any fears of personal information being used against self are few and reasonable</i></p>
	<p>1= <i>Some discomfort with disclosure and openness in relationships in which it is typical to be unconcerned and unguarded, e.g., sibling, spouse, child; may be limited to specific relationships; motivation may be fear of being judged</i></p>
	<p>2= <i>Significant to severe / pervasive mistrust of others; significant difficulties being open and disclosing personal information to others; may be due to the fear that the information will be used against the self; score 2 for significant to severe guardedness, even if a fear of information being used against the self is not endorsed</i></p>

<p>32.</p> <p><i>Lower-level Defenses</i></p> <p><i>Idealization / Devaluation</i></p> <p><i>Narcissism 8</i></p>	<p><b>Does it happen to you that you idealize extraordinary people, you know, putting them up on a pedestal or expecting a lot from them, only to realize or feel, after a while, very disappointed or let down, finding fault with or criticizing them?</b></p> <p><b>If yes,</b></p> <p>With whom does this happen? Can you provide an example?</p> <p><b>Do you struggle with disappointments like this in your romantic relationships, where you feel very excited initially, only to eventually feel that you could do better, wondering what it would be like to be with someone else?</b></p> <p><b>In relationships other than your romantic relationships, do you tend to look up to people, to put them on a pedestal?</b></p> <p>Are there people whom you would say that you idealize, whom you hold in an unrealistically high regard?</p> <p>And does the same pattern apply, where you eventually become quite disappointed in, or critical of them?</p> <p><b>If yes to either item stem,</b></p> <p>Does this pattern occur across many or most of your relationships?</p>
	<p>0= <i>No evidence of idealization / devaluation in relationships as described</i></p>
	<p>1= <i>Some tendency towards idealization / devaluation as described may be limited to some relationships or to times of stress</i></p>
	<p>2= <i>Unstable view of relationships; unpredictable shifts in view of others based on idealization / devaluation; shifts may be extreme and/or frequent; may occur across many relationships, regardless of level of respondent's life-stress</i></p>

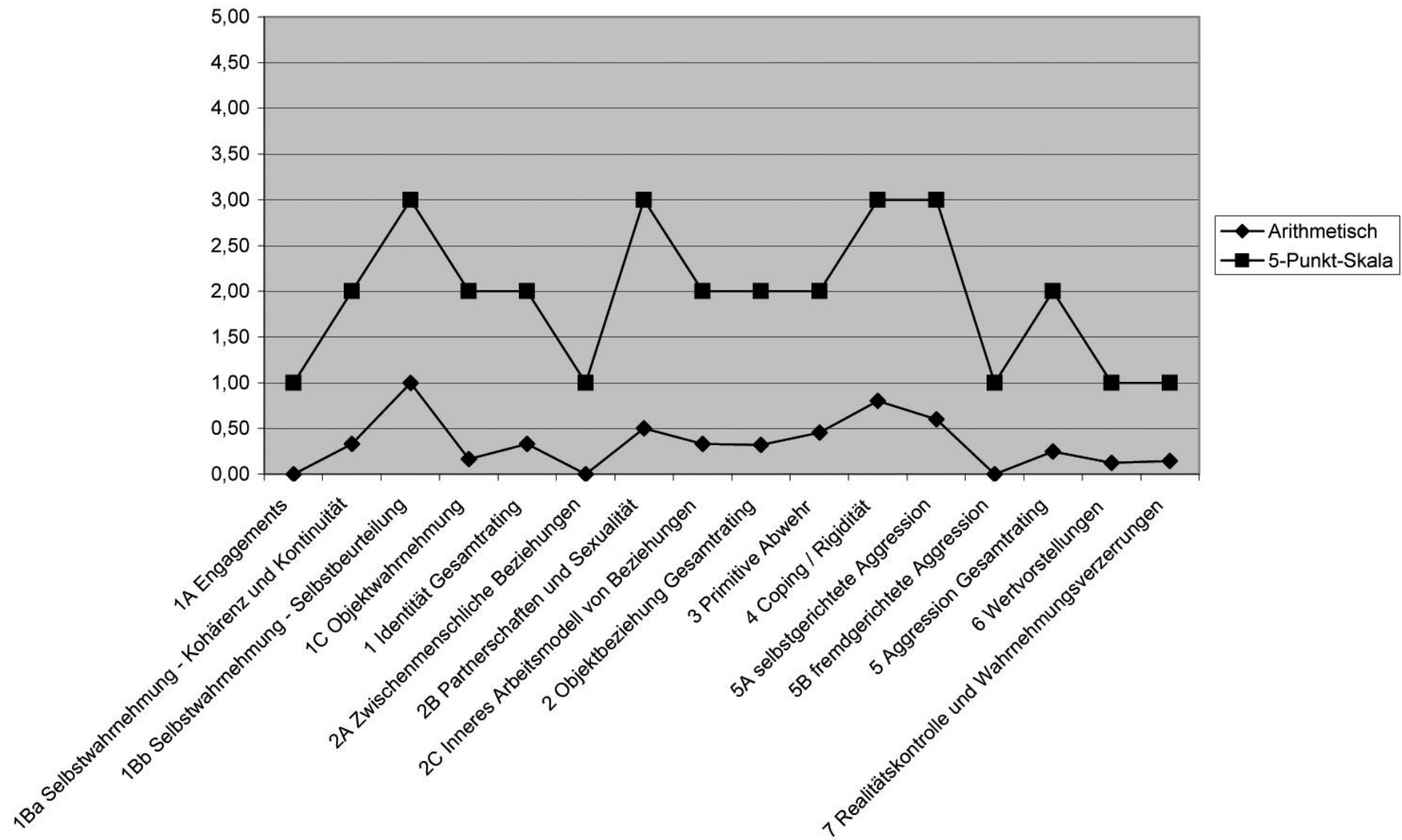
## STIPO-R – Profile of Personality Organization

Subdimension/ Dimension	arith- metic	5-point scale
1. A. Capacity to invest		
1. B. Sense of self		
1. C. Representation of others		
<b>1. Overall rating of identity</b>		
2. A. Interpersonal relationships		
2. B. Intimate relationships and sexuality		
2. C. Internal investments in others		
<b>2. Overall rating of quality of object relations</b>		
<b>3. Lower-level (primitive) defenses</b>		
<b>4. Higher-level defenses (coping and rigidity)</b>		
5. A. Self-directed aggression		
5. B. Other-directed aggression and hostility		
<b>5. Overall rating of aggression</b>		
<b>6. Moral values</b>		
<b>Narcissism items</b>		


### STRUKTURNIVEAU

normal	
neurotic 1	
neurotic 2	
borderline 1	
borderline 2	
borderline 3	

*(please tick as appropriate)*





A woman with dark, curly hair, wearing a white long-sleeved shirt and a light pink scarf, is seated at a desk in an office. She has a thoughtful or slightly concerned expression. In the background, there is a white shelf with a small book titled "We Can Do It!" featuring a cartoon character, and a stack of papers on a higher shelf. The text "I would like to ask you some questions about your personality." is overlaid in yellow at the bottom of the image.

I would like to ask you some questions about your personality.

## Sense of Self

### Sense of self – coherence and continuity

12. *Self-Description* I want to shift gears a little bit here and ask you some questions about you as a person...about your personality. Let's say that you wanted me to get to know you as quickly as possible, in just a few minutes – how would you describe yourself to me so that I get as live and full of picture of the kind of person you are?

Probe: Wait for the respondent's full reply, and then ask: Is there anything else you can tell me about what makes you unique and special, about your qualities as a person, that describe for me your personality?

Probe: List of Adjectives -- : "you just described yourself to me using a list of adjectives; I was wondering if you could fill your description of yourself in for me a bit, perhaps giving me more of a live picture of who you are or of what kind of person you are."

Probe: Superficial description -- if the person cannot elaborate on the adjectives or describes self in highly superficial terms, inquire about one or more of the adjectives or qualities offered, and ask if the respondent can describe those qualities in greater detail.

Probe: Highly idealizes or devalued representation – "your description of "\_\_\_\_" seems so entirely glowing, positive / angry, negative that it almost sounds to extreme to be real, like a caricature of a person; are there any negative / positive things you might also say about "\_\_\_\_"?"

(Probe: Severe difficulty describing self – "it seems very difficult to describe yourself; do you have any sense of what that is?")

0= *Describes self with subtlety, depth and self-awareness; easy for respondent to elaborate multiple, diverse qualities, and to see both positive and negative aspects of the self; narrative quality; reflection on sense of his or her personality and inner mental life*

1= *Somewhat superficial description of self; contains some self-awareness, some sense of reflection related to inner mental life; difficulty seeing self as whole object; some poverty in descriptors of self; tends towards list of adjectives with little elaboration, narration;*

2= *Superficial description of self, little subtlety or depth; no ability to see self as whole object; poverty in descriptors of self; list of adjectives with no elaboration; little to no narrative quality; little to no reflection on inner mental life*



## Structured Interview of Personality Organization (STIPO): Preliminary Psychometrics in a Clinical Sample

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In this article, we describe the development and preliminary psychometric properties of the Structured Interview of Personality Organization (STIPO), a semistructured interview designed for the dimensional assessment of identity, primitive defenses, and reality testing, the three primary content domains in the model of personality health and disorder elaborated by Kernberg (1984; Kernberg & Caligor, 2005). Results of this investigation, conducted in a clinical sample representing a broad range of personality pathology, indicate that identity and primitive defenses as operationalized in the STIPO are internally consistent and that interrater reliability for all 3 content domains is adequate. Validity findings suggest that the assessment of one's sense of self and significant others (Identity) is predictive of measures of positive and negative affect, whereas the maladaptive ways in which the subject uses his or her objects for purposes of regulating one's self experience (Primitive Defenses) is predictive of measures of aggression and personality disorder traits associated with cluster B personality disorders. We discuss implications of these findings in terms of the theory-driven and trait-based assessment of personality pathology.

Alongside the empirical elaboration of Axis II of the *Diagnostic and Statistical Manual for Mental Disorders* (4th ed., text revision [DSM-IV-TR]; American Psychiatric Association, 2000) and the host of standardized assessment tools keyed to the DSM criteria sets (e.g., Loranger, 1999; First, Spitzer, Gibbon, Williams, & Benjamin, 1997), other theory-driven approaches to the study of personality disorder have emerged in recent years with accompanying assessment technologies (e.g., Benjamin, 2005; Westen & Shedler, 1999a, 1999b). In this report, we present preliminary data on the psychometric development of the Structured Interview of Personality Organization (STIPO), a semi-structured interview developed to assess dimensions of personality pathology within Kernberg's (1984) particular psychodynamic frame of reference. Informed by contemporary object relations theory, Kernberg (1984; Kernberg & Caligor, 2005) has described what is essentially a dimensional model of personality centered on the assessment of three key domains: identity disturbance, primitive psychological defenses, and reality testing (1984; Kernberg & Caligor, 2005). In his clinical writing and teaching, Kernberg characterized the clinical psychopathology of personality disorder according to the individual's standing on these three dimensions as falling into two primary regions: the borderline (BPO) and neurotic (NPO) levels of "personality organization." The purpose of this study was not to validate these putative typological classifications; rather, we sought to establish preliminary reliability and validity of

the underlying clinical dimensions, that is, identity, primitive defenses, and reality testing.

Identity in Kernberg's (1984) model is comprised of the various ways in which individuals experience themselves in relation to others. Normal identity is based on the individual's ability to shift flexibly across various self-representations, resulting in an appraisal of the self that is realistic and integrated, with an ability to tolerate both the positively and negatively imbued qualities of the self and a correspondingly realistic and stable experience of others. In contrast, identity pathology is characterized by inflexible and unstable, poorly integrated, black and white (i.e., "all good" or "all bad") experiences of self and other, with a resulting incoherence in the experience of self and others and a predominance of negative affects as well as instability and conflict in the interpersonal sphere (Kernberg & Caligor, 2005).

Working hand in glove with the construct of identity is the construct of primitive defensive operations, conceived as psychological strategies for the regulation of emotion carried on outside of conscious awareness, which involve the separation of positive and negative sectors of experience (commonly referred to as "splitting"). This separation comes at the expense of the individual's maintaining a distorted, fragmentary, caricatured, and brittle sense of self and others. In addition to the assessment of identity and primitive defenses, the assessment of reality testing, specifically, attunement to social and interpersonal norms and the demonstration of expected tact and empathy (as opposed to the ability to separate self from nonself and internal from external stimuli) is central to the classification of personality organization. In sum, greater identity pathology and the more extensive use of primitive defenses, in the context of grossly intact reality testing, are pathognomonic of severe personality

### RESEARCH ARTICLE

### Open Access

## Reliability and validity of the German version of the Structured Interview of Personality Organization (STIPO)

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### Abstract

**Background:** The assessment of personality organization and its observable behavioral manifestations, i.e. personality functioning, has a long tradition in psychodynamic psychiatry. Recently, the DSM-5 Levels of Personality Functioning Scale has moved it into the focus of psychiatric diagnostics. Based on Kernberg's concept of personality organization the Structured Interview of Personality Organization (STIPO) was developed for diagnosing personality functioning. The STIPO covers seven dimensions: (1) identity, (2) object relations, (3) primitive defenses, (4) coping/rigidity, (5) aggression, (6) moral values, and (7) reality testing and perceptual distortions. The English version of the STIPO has previously revealed satisfying psychometric properties.

**Methods:** Validity and reliability of the German version of the 100-item instrument have been evaluated in 122 psychiatric patients. All patients were diagnosed according to the Diagnostic and Statistical Manual for Mental Disorders (DSM-IV) and were assessed by means of the STIPO. Moreover, all patients completed eight questionnaires that served as criteria for external validity of the STIPO.

**Results:** Interrater reliability varied between intraclass correlations of .89 and 1.0, Cronbach's  $\alpha$  for the seven dimensions was .69 to .93. All a priori selected questionnaire scales correlated significantly with the corresponding STIPO dimensions. Patients with personality disorder (PD) revealed significantly higher STIPO scores (i.e. worse personality functioning) than patients without PD; patients cluster B PD showed significantly higher STIPO scores than patients with cluster C PD.

**Conclusions:** Interrater reliability, Cronbach's  $\alpha$ , concurrent validity, and differential validity of the STIPO are satisfying. The STIPO represents an appropriate instrument for the assessment of personality functioning in clinical and research settings.

**Keywords:** Personality functioning, Personality disorder, Diagnosis, Reliability, Validity

### Background

The concept of personality organization or, in other terms, personality structure stands for intrapsychic formations that represent a basis of the personality and determine a person's functioning in dealing with his or her own self and interpersonal relationships. Thus, personality functioning can be regarded as the observable manifestation of the underlying personality organization. The assessment of personality functioning goes back to Freud's first structural

model [1], that distinguished conscious, pre-conscious, and unconscious aspects of the mind. Based on Anna Freud's work about the defense mechanisms [2] Hartmann [3,4] described ego functions as result of a healthy development and a basic condition for a mental equilibrium and psychosocial functioning. Kernberg [5,6] coined the term personality organization and initially distinguished three levels: Neurotic, borderline, and psychotic level of personality organization. While neurotic patients are characterized by an integrated identity, mature defense mechanisms (e.g., repression, rationalization, intellectualization), and good reality testing, borderline patients show impaired identity integration ("identity diffusion") and primitive

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# Inventory of Personality Organization (IPO)

# Inventory of Personality Organization (IPO)

Item	Scale	Item	Scale
1. I feel like a fake or imposter, that others see me as quite different from the way I really am.	PD/ID	32. I have favorite people whom I not only admire, but almost idealize.	PD/ID
3. When I'm nervous or confused, it seems like things in the outside world don't make sense either.	PD/ID	33. I pick up hobbies and interests and then drop them.	PD/ID
4. I feel I'm a different person at home as compared to how I am at work or at school.	PD/ID	34. I have seen things which do not exist in reality.	RT
5. I feel I don't get what I want.	PD/ID	35. I find myself doing things which feel okay while I am doing them but which I later find hard to believe I did.	PD/ID
7. I find that I do things which get other people upset and I don't know why such things upset them.	PD/ID	36. Even people who know me well cannot guess how I'm going to behave.	PD/ID
8. Some of my friends would be surprised if they knew how differently I behave in different situations.	PD/ID	38. I have heard or seen things when there is no apparent reason for it.	RT
9. I feel that my tastes and opinions are not really my own, but have been borrowed from other people.	PD/ID	39. It is hard for me to be sure about what others think of me, even people who have known me very well.	PD/ID
11. I feel that my wishes or thoughts will come true as if by magic.	RT	40. People tend to respond to me by either overwhelming me with love or abandoning me.	PD/ID
12. People tell me I provoke or mislead them so as to get my way.	PD/ID	41. I tend to feel things in a somewhat extreme way, experiencing either great joy or intense despair.	PD/ID
13. I am not sure whether a voice I have heard, or something that I have seen is my imagination or not.	RT	42. I see myself in totally different ways at different times.	PD/ID
15. I think I see things which, when I take a closer look, turn out to be something else.	RT	44. In the course of an intimate relationship, I'm afraid of losing a sense of myself.	PD/ID
17. I can't explain the changes in my behavior.	PD/ID	45. My life goals change frequently from year to year.	PD/ID
20. I can see things or hear things that nobody else can see or hear.	RT	46. I am a "hero worshiper" even if I am later found wrong in my judgment.	PD/ID
22. I find myself doing things which at other times I think are not too wise like having promiscuous sex, lying, drinking, having temper tantrums or breaking the law in minor ways.	PD/ID	48. I fluctuate between being warm and giving at some times, and being cold and indifferent at other times.	PD/ID
23. People tell me I behave in contradictory ways	PD/ID	49. I do things on impulse that I think are socially unacceptable.	PD/ID
24. I can't tell whether certain physical sensations I'm having are real, or whether I am imagining them.	RT	50. My goals keep changing.	PD/ID
25. I hear things that other people claim are not really there.	RT	51. When everything around me is unsettled and confused, I feel that way inside.	PD/ID
28. I act in ways that appear to others as unpredictable and erratic.	PD/ID	54. People see me as being rude or inconsiderate, and I don't know why.	PD/ID
29. People tend to use me unless I watch out for it.	PD/ID	55. I feel as if I have been somewhere or done something before when I really haven't.	RT
30. I understand and know things that nobody else is able to understand or know.	RT	56. I believe that things will happen simply by thinking about them.	RT
31. My life, if it were a book, seems to me more like a series of short stories written by different authors than like a long novel.	PD/ID		

The numbers before the items correspond to the numbers used in Table 1.

John Clarkin



Based on Kernberg's model.  
56-item self-rating instrument.  
Three scales:  
1. Reality testing  
2. Primitive defenses  
3. Identity diffusion

## The Inventory of Personality Organization: Psychometric Properties, Factorial Composition, and Criterion Relations With Affect, Aggressive Dyscontrol, Psychosis Proneness, and Self-Domains in a Nonclinical Sample

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This report describes 2 studies of the psychometric characteristics of the primary clinical scales of the Inventory of Personality Organization (IPO; O. F. Kernberg & J. F. Clarkin, 1995), which assess reality testing, primitive psychological defenses, and identity diffusion, in a nonclinical sample. The 3 IPO scales display adequate internal consistency and good test-retest reliability. Item-level confirmatory factor analysis supported a two-factor structure of the IPO consistent with O. F. Kernberg's (1984, 1996) model of borderline personality organization. Each of the 3 IPO scales was associated with increased negative affect, aggressive dyscontrol, and dysphoria as well as lower levels of positive affect consistent with Kernberg's model of borderline personality organization. The IPO Reality Testing scale is closely related to various measures of psychotic-like phenomena.

The diagnosis of personality pathology has increased dramatically in recent years, largely due to the introduction of the Axis II nomenclature within the *Diagnostic and Statistical Manual of Mental Disorders (DSM e.g., American Psychiatric Association, 1994)* system (Loranger, 1990). There has been a concomitant increase in the number of assessment tools designed to aid in the detection and characterization of personality disorders (PDs), most of which are guided by the *DSM* definitions of personality disorder. The available *DSM*-related assessment tools include structured interviews—for example, International Personality Disorders Examination (IPDE; Loranger, 1999); and Structured Clinical Interview for *DSM-IV* Axis II Personality Disorders (First, Gibbon, Spitzer, Williams, & Benjamin, 1997)—self-report instruments—for example, Millon Clinical Multiaxial Inventory—III; (Millon, Millon, & Davis, 1994) and Schedule for Nonadaptive and Adap-

tive Personality (Clark, 1993)—and Q-sort methods (Westen & Shedler, 1999). Although the primary approach to classifying the PDs remains the *DSM* nomenclature, the system is descriptive and atheoretical in nature.

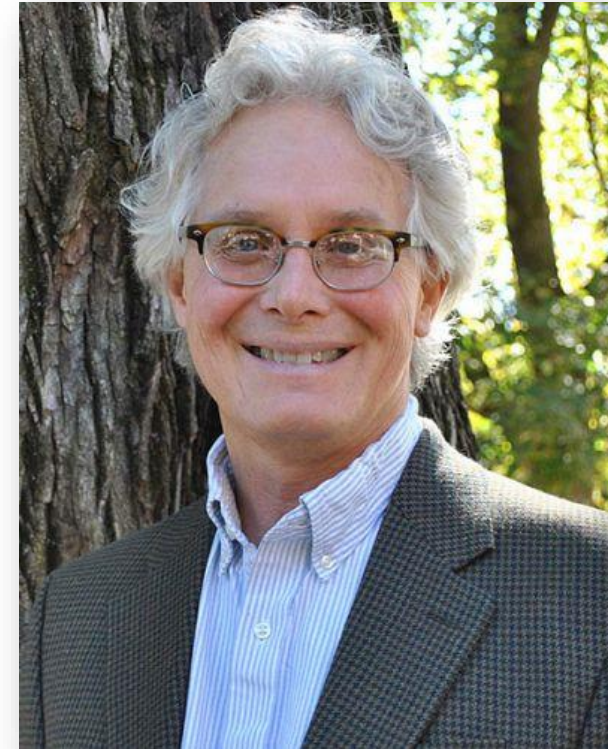
Alternative approaches to the classification and diagnosis of personality pathology have been theory guided, and several of these have developed a related assessment methodology. The interpersonal approach advocated by Benjamin (1996), Millon's (1996) evolutionary model, and Beck, Freeman, et al.'s (1990) cognitive-behavioral model of the PDs serve as examples outside of the *DSM* approach. Another theoretical approach to PD classification and diagnosis is embodied in the psychodynamic model of Kernberg (1984, 1996). The diagnostic and theoretical framework of Kernberg (1984, 1996) defines general PD as developing from a confluence of factors, which include both neurobiologically mediated (e.g., temperament, aggression) and subsequent environmentally moderated (e.g., trauma, neglect) factors. The specific PD formation visible at the phenotypic-clinical level is reflective of an admixture of these factors as well as a level of severity dimension (Kernberg, 1996). Kernberg (1984, 1996) has theorized that personality "organization" falls into three broad classes, namely the neurotic, borderline, and psychotic levels of organization. Specific PDs and their related behavioral and psychological referents, even those delineated within the *DSM* nomenclature, emanate from within the borderline level of personality organization. This level of organization is characterized by (a) broadly intact reality testing, (b) predominance of primitive psychological defenses, and (c) marked identity diffusion, and it defines the underlying developmental matrix from within which all forms of PD arise. The borderline level of organization, however, should not be confused with *DSM*-defined borderline personality disorder, which is but one disorder that can derive from borderline personality organization (BPO).

Mark F. Lenzenweger, Department of Psychology, Harvard University; John F. Clarkin, Otto F. Kernberg, and Pamela A. Foelsch, Department of Psychiatry and Personality Disorders Institute, Weill Medical College of Cornell University.

This research was supported in part by a grant from the Borderline Personality Disorder Research Foundation as well as, in part, by National Institute of Mental Health Grant MH-45448 to Mark F. Lenzenweger.

We thank Richard A. Depue and Lauren Korffine for helpful consultations and comments. We are grateful to Auke Tellegen and Scott O. Lilienfeld for a comprehensive and helpful review of an earlier version of this article. We are grateful to Jack D. Barchas, for his support of both this work and the Personality Disorders Research Institute at the Weill Medical College of Cornell University.

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Mark Lenzenweger

2001



# Part II: Outcome Research

# Psychological therapies for people with borderline personality disorder (Review)

Stoffers JM, Völlm BA, Rucker G, Timmer A, Huband N, Lieb K



THE COCHRANE  
COLLABORATION®



Jutta Stoffers



Klaus Lieb

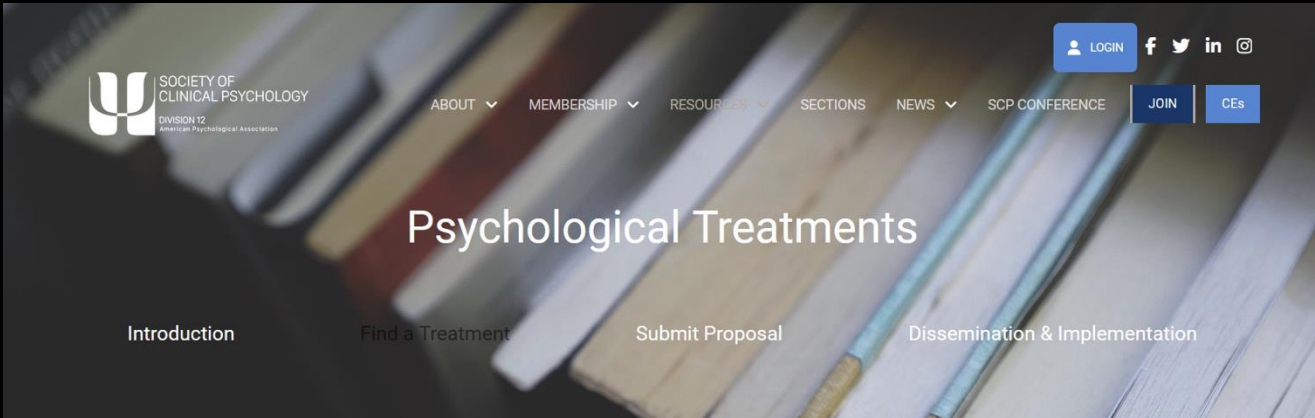
## Authors' conclusions

There are indications of beneficial effects for both comprehensive psychotherapies as well as non-comprehensive psychotherapeutic interventions for BPD core pathology and associated general psychopathology. DBT has been studied most intensely, followed by MBT, TFP, SFT and STEPPS. However, none of the treatments has a very robust evidence base, and there are some concerns regarding the quality of individual studies. Overall, the findings support a substantial role for psychotherapy in the treatment of people with BPD but clearly indicate a need for replicatory studies.

This is a reprint of a Cochrane review  
2012, Issue 8

Psychological therapies for people with borderline personality disorder (Review)  
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https://div12.org/treatments/?\_sfm\_related\_diagnosis=8152



Below is a list of psychological treatments that have been evaluated using either the Chambless & Hollon (1998) criteria or the current Tolin (2015) criteria. Note that the absence of a treatment does not necessarily suggest the treatment has insufficient evidence; rather, it may simply reflect that the treatment has yet to undergo evaluation. Use the search field to filter by symptoms and/or disorders to find a treatment. Select a treatment to view a description, research support, and relevant resources.

SYMPTOMS	DISORDER
All Symptoms ▾	Borderline Personality Disorder (4)

Found 4 Results

- Mentalization-Based Treatment For Borderline Personality Disorder
- Schema-Focused Therapy For Borderline Personality Disorder
- Transference-Focused Therapy For Borderline Personality Disorder
- Dialectical Behavior Therapy For Borderline Personality Disorder

## BRIEF SUMMARY

# TRANSFERENCE-FOCUSED THERAPY FOR BORDERLINE PERSONALITY DISORDER

STATUS: STRONG/CONTROVERSIAL RESEARCH SUPPORT

[What does this mean?](#)

## DESCRIPTION

Transference-Focused Therapy (TFP) focuses on revealing the underlying causes of a patient's borderline condition and working to build new, healthier ways for the patient to think and behave. From the perspective of TFP, the borderline patient's perceptions of self and of others are split into unrealistic extremes of bad and good. These conflicting dyads are thought to be expressed through the specific self-destructive symptoms of BPD. The term "transference" refers to the patient's experience of his or her moment-to-moment relationship with the therapist. The treatment focuses on transference, because it is believed that patients will display their unhealthy dyadic perceptions not only in day-to-day life, but also in the interactions they have with their therapist. TFP focuses on using patient-therapist communications to help the patient integrate these different representations of self and, in the process, develop better methods of self-control.

# October 3, 2024



# 3 Uncontrolled Studies (2000-2004)

## **THE DEVELOPMENT OF A PSYCHODYNAMIC TREATMENT FOR PATIENTS WITH BORDERLINE PERSONALITY DISORDER: A PRELIMINARY STUDY OF BEHAVIORAL CHANGE**

John F. Clarkin, PhD, Pamela A. Foelsch, PhD, Kenneth N. Levy, PhD, James W. Hull, PhD, Jill C. Delaney, MSW, and Otto F. Kernberg, MD

This study examines the effectiveness of a modified psychodynamic treatment called Transference Focused Psychotherapy (TFP) specifically for patients with borderline personality disorder. Twenty-three female patients diagnosed with DSM-IV borderline personality disorder received twice-weekly TFP. Patients were assessed at baseline and at 12 months of treatment with diagnostic instruments, measures of suicidality, self-injurious behavior, and measures of medical service utilization. Compared to the year prior to treatment, the number of patients who made suicide attempts significantly decreased, as did the medical risk and severity of medical condition following self-injurious behavior. Compared to the year prior, study patients in the treatment year had significantly fewer hospitalizations as well as fewer and shorter days of psychiatric hospitalization. The dropout rate was low. This uncontrolled study is highly suggestive that this structured manualized psychodynamic treatment modified for borderline personality disorder shows promise for the ambulatory treatment of these patients and warrants further study.

2001

John Clarkin



- uncontrolled study
- n=23
- 12 months of treatment
- significant reduction of
  - # of suicide attempters
  - medical risk of suicide attempts
  - severity of self-injurious behavior
  - # and days of psychiatric hospitalizations



# Cambios en la psicopatología del trastorno límite de la personalidad, en los pacientes tratados con psicoterapia psicodinámica

Pablo Cuevas\*  
José Camacho\*  
Rodolfo Mejía\*  
Ivonne Rosario\*  
Ramón Parres\*  
Josefina Mendoza\*  
David López\*

## Summary

There are very few studies on the changes in patients with borderline personality disorder (BPD) treated with psychodynamic psychotherapy, around the world, and as far as we know, this is the first report in Mexico on this subject. The main features of this disorder appear at 18 years of age (75% females; 25% males) and are frequent crises characterized by impulsivity (physical fights, substance abuse, suicide or self-mutilating behavior), affective instability (episodes of depression, anxiety and anger) and alterations in identity (sudden changes in values, vocational or laboral goals) that consume a lot of health resources and contribute to many failures in academic and work performance. Its treatment always needs some form of individual or group psychotherapy, with medication at times. In most of the clinical settings this disorder is considered as untractable or to take years to produce beneficial modifications. According to the epidemiological studies of several countries, this disorder appears in 1.1 to 4.6% in the general population, in 10% of the patients in ambulatory mental health centers, in 20% of the hospitalized psychiatric patients and in 30 to 60% of the patients with personality disorders. In a clinical psychiatric population in the Central Military Hospital (Mexico City) the prevalence was 35.7%. This paper reports changes observed in the psychopathology of borderline personality disorder treated by therapists trained in the Kernberg's manualized psychodynamic psychotherapy, delivered in two weekly 45 minutes sessions, videorecorded and supervised once a week by experts. As for the therapists that participated in the study, four were psychoanalysts with a mean experience of 12 years (D.E. = 1.15) and 10 psychotherapists with a mean experience of 4.67 years (D.E. = 4.23). The experience of both groups of therapists was significantly different ( $U = 7.5, p, .002$ ). Nineteen patients were treated: four males and 15 females who met the DSM IV borderline personality disorder criteria. Measurements of the psychopathology and global functioning were made at the beginning of the treatment, and every 24 sessions during a two years period, using the Clarkin's Dimensional Scale of the DSM IV Borderline Personality Disorder,

and the DSM IV Global Assessment of Functioning. The results were: a) Eleven patients no longer met the DSM IV borderline personality disorder criteria at the 72<sup>nd</sup> session measurement, b) there was a positive change in the severity of the psychopathology in all criteria along time, c) the impulsivity criteria disappeared at the 24<sup>th</sup> session evaluation; affective instability criteria almost disappeared at the 48<sup>th</sup> session evaluation, while identity alterations criteria had only minimal changes even in those patients that remained in treatment for almost two years, d) the gaining in the Global Assessment of Functioning from the beginning to the 72<sup>nd</sup> session measurement was 70% and, f) there were no significant differences between the type of therapist and the improvement of the patient in the measurements. These results should be replicated and contrasted with randomized and comparative studies between this type of therapy and supportive psychotherapy, cognitive-behavioral therapy, interpersonal therapy, group therapy and medication in patients of other social classes treated by residents in psychiatry and clinical psychologists before making available this therapy to a wider patients population.

**Key words:** Borderline personality disorder, psychodynamic psychotherapy, psychotherapy-methods.

## Resumen

Los estudios sobre los cambios en los pacientes con psicopatología del trastorno límite de la personalidad (TLP) tratados con psicoterapia psicodinámica son muy escasos en el resto del mundo, y en México este es el primer trabajo del que tenemos noticia. El trastorno límite de la personalidad aparece alrededor de los 18 años, con un patrón de relaciones interpersonales intensas e inestables en las que ocurren crisis frecuentes de gran impulsividad (conducta suicida, abuso de sustancias, pleitos verbales y violencia física), inestabilidad afectiva (episodios de depresión, ira y ansiedad) y alteraciones de identidad (cambios súbitos de metas, valores y orientación vocacional), que consumen gran cantidad de recursos de salud y frecuentemente impiden el desarrollo académico y el inicio de la vida laboral. Su tratamiento requiere de alguna forma de psicoterapia individual o grupal y, con frecuencia, del uso de medicamentos; en general, el tratamiento es difícil y la impresión es que estos trastornos son intratables o que

\* Asociación Psicoanalítica Mexicana, A.C. Bosque de Caobas 67, Bosques de las Lomas, Miguel Hidalgo, 11700, México, D. F.  
Primera versión: 7 de abril de 1999.  
Segunda versión: 16 de octubre de 2000.  
Aceptado: 6 de noviembre de 2000.

2000



- uncontrolled study
- n=19
- 72 treatment sessions
- significant reduction of
  - DSM-IV borderline criteria
  - GAF score

# PSICOTERAPIA FOCALIZADA EN LA TRANSFERENCIA PARA EL TRASTORNO LÍMITE DE LA PERSONALIDAD. UN ESTUDIO CON PACIENTES FEMENINAS

David López\*, Pablo Cuevas\*, Araceli Gómez\*\*, Josefina Mendoza\*

## SUMMARY

The objective of the study was to observe the changes in the psychopathology of women with Borderline Personality Disorder (BPD) after 48 sessions of Transference-Focused Psychotherapy (TFP) conducted by novel therapists, videotaped and supervised by experts. TFP is a specific treatment based on a manual, with two weekly individual sessions for BPD and also for the narcissistic and histrionic personality disorders. The treatment was developed in the last 20 years by Kernberg and colleagues at the Institute of Personality Disorders, Cornell Medical Center, according to the USA National Institute of Mental Health requirements.

Transference-Focused Psychotherapy is important because it provides a systematic guide for the containment and analysis of the victim-victimizer and rescuer-rescuer transference-countertransference paradigms that arise along the treatment sessions which, if not properly handled, are responsible for the failure of most treatments of BPD patients. Before starting TFP, a therapy contract is set with detailed prescriptions for the management of suicidal behavior (the patient must accept to self-contain suicidal urges in order to receive the treatment), other forms of impulsivity, affective instability and alterations of identity related to destructive decisions regarding leaving home, school or work, use of illegal and prescribed drugs and taking proper care of mental and physical comorbidities.

Most BPD patients receive "treatment as usual" (TU) with supportive therapy, short and erratic courses of medication and brief hospitalizations. This is done despite the existence of specific therapies for them as psychodynamic therapy, supportive therapy, group therapy, family therapy and reliable and well-studied prolonged regimes of medications with fluvoxamine, olanzapine, valproate and omega fatty acid. Drop out rate of TU is almost 60% and the remaining patients exhibit little improvement even with several years of therapy conducted by experienced therapists.

Specific therapies for BPD, besides TFP, are Linehan's Dialectical Behavioral Therapy (DBT) and Bateman and Fonagy's Partial Hospitalization (PH) treatment (these two treatments use a combination of individual and group therapies) and Stevenson

and Meares's Self Psychotherapy (SP) (two individual sessions a week closely supervised in a weekly meeting with all therapists). These four therapies are effective for reducing the more destructive BPD manifestations within 12 to 18 months of treatment. Drop out rates are: PFT, 19.1%; DBT, 16.7%; PH, 12% and SP, 16%. In all these therapies, impulsivity and affective instability begin to remit after four to six months of treatment and the alterations of identity and the BPD diagnosis do not disappear at the end of the treatment.

In a previous study carried out by some of us with experienced (mean experience, 12 years; S.D.=1.15) and novel therapists (mean experience, 4.67 years; S.D.=4.23), where the experience of each group was significantly different ( $U=7.5, p<.002$ ), impulsivity remitted after 24 sessions and affective instability remitted almost completely after 48 sessions in 11 out of 19 patients of both sexes who were offered a two-year treatment with videorecorded supervised TFP. There were no differences in results between both groups of therapists.

With that background, we planned the present study which, as far as we know, is the first TFP study with 48 sessions delivered only by novel therapists. The research project was approved by the Anahuac University research and ethical committees. Patients were recruited from respondents to an offer of treatment for BPD at the university psychotherapy clinic. Selection of patients was made with clinical and semi-structured interviews using the SCID I and the SCID II. At least one of the supervisors interviewed all patients and their families and offered to be available in the case of emergencies for patients, families and therapists. Inclusion criteria were: being 18 to 40 years old, meeting the first three criteria and two other of the remaining six BPD criteria; having graduated at least from junior high school, and not suffering from schizophrenia, bipolar disorder, delusional disorder, severe substance abuse, severe mental organic disorder or antisocial disorder.

Therapists were selected among recent graduates from the Anahuac University psychotherapy post-graduate program after attending two semesters on BPD psychopathology and therapy and a 20-hours course on the treatment manual given by the

2004



- uncontrolled study
- n=10
- 48 treatment sessions
- significant reduction of
  - GAF score
  - SCL-90

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\*\*Coordinadora de la Maestría en Psicología Clínica y Psicoterapia de la Universidad Anáhuac.

Recibido primera versión: 6 de mayo de 2003. Segunda versión: 9 de enero de 2004. Tercera versión: 29 de marzo de 2004. Aceptado: 9 de abril de 2004

# 3 RCTs (2006-2010)



# Outpatient Psychotherapy for Borderline Personality Disorder

## Randomized Trial of Schema-Focused Therapy vs Transference-Focused Psychotherapy

Josephine Giesen-Bloo, MSc; Richard van Dyck, MD, PhD; Philip Spinhoven, PhD; Willem van Tilburg, MD, PhD; Carmen Dirksen, PhD; Thea van Asselt, MSc; Ismay Kremers, PhD; Marjon Nadort, MSc; Arnoud Arntz, PhD

**Context:** Borderline personality disorder is a severe and chronic psychiatric condition, prevalent throughout health care settings. Only limited effects of current treatments have been documented.

**Objective:** To compare the effectiveness of schema-focused therapy (SFT) and psychodynamically based transference-focused psychotherapy (TFP) in patients with borderline personality disorder.

**Design:** A multicenter, randomized, 2-group design.

**Setting:** Four general community mental health centers.

**Participants:** Eighty-eight patients with a Borderline Personality Disorder Severity Index, fourth version, score greater than a predetermined cutoff score.

**Intervention:** Three years of either SFT or TFP with sessions twice a week.

**Main Outcome Measures:** Borderline Personality Disorder Severity Index, fourth version, score; quality of life; general psychopathologic dysfunction; and measures of SFT/TFP personality concepts. Patient assessments were made before randomization and then every 3 months for 3 years.

**Results:** Data on 44 SFT patients and 42 TFP patients were available. The sociodemographic and clinical characteristics of the groups were similar at baseline. Survival analyses revealed a higher dropout risk for TFP patients than for SFT patients ( $P=.01$ ). Using an intention-to-treat approach, statistically and clinically significant improvements were found for both treatments on all measures after 1-, 2-, and 3-year treatment periods. After 3 years of treatment, survival analyses demonstrated that significantly more SFT patients recovered (relative risk=2.18;  $P=.04$ ) or showed reliable clinical improvement (relative risk=2.33;  $P=.009$ ) on the Borderline Personality Disorder Severity Index, fourth version. Robust analysis of covariance (ANCOVA) showed that they also improved more in general psychopathologic dysfunction and measures of SFT/TFP personality concepts ( $P<.001$ ). Finally, SFT patients showed greater increases in quality of life than TFP patients (robust ANCOVAs,  $P=.03$  and  $P<.001$ ).

**Conclusions:** Three years of SFT or TFP proved to be effective in reducing borderline personality disorder-specific and general psychopathologic dysfunction and measures of SFT/TFP concepts and in improving quality of life; SFT is more effective than TFP for all measures.

*Arch Gen Psychiatry.* 2006;63:649-658



Arnoud Arntz

2006

- RCT of TFP vs. Schema-Focused Therapy (SFT)
- n=88
- 3 years, sessions twice a week
- significant reduction of all outcome measures in both groups
- significant superiority of SFT in
  - BPDSI-IV
  - drop-out risk
  - QoL
  - SCL-90
  - „psycho- and personality pathology“



## Questions Concerning the Randomized Trial of Schema-Focused Therapy vs Transference-Focused Psychotherapy

I'm writing as a consultant to the study in the June 2006 issue of the ARCHIVES, "Outpatient Psychotherapy for Borderline Personality Disorder: Randomized Trial of Schema-Focused Therapy vs Transfer-



Frank Yeomans

### Criticism

- patients in the TFP group were more symptomatic
- lack of training and treatment integrity in TFP therapists

ence-Focused Psychotherapy.<sup>1</sup> The topic is important, but I regretfully have to object to aspects of this study and question its conclusions. Besides the facts that (1) the patient group treated by psychodynamic therapists was more symptomatic and (2) a completers analysis, which I know was done, was not published, there is an issue concerning what therapy was delivered. The question of adherence is essential to any psychotherapy research and in this study, we must consider both observation of the therapists' work and the authors' "integrity check."

Giesen-Bloo et al<sup>1</sup> state "all the therapists had previous therapy experience in their orientation with patients with BPD [borderline personality disorder]" and the transference-focused psychotherapy (TFP) therapists had an average of 11.73 years' experience in the model. This represents a misunderstanding of TFP in relation to general psychodynamic psychotherapy. Transference-focused psychotherapy is a form of psychodynamic psychotherapy, modified to address patients with borderline personality disorder, that was being developed at the time this study was beginning.<sup>2</sup> The Dutch therapists had prior experience in psychodynamic psychotherapy but not in TFP. Most commented on how different TFP was from their customary approach as they attempted to learn TFP.

Another issue is the use of the term *supervisor* in defining my role. While the term was used, the more accurate term is *consultant*. Visiting the Netherlands 8 times in 7 years, I could not provide regular supervision. I tried

wouldn't do what was required."

Even in my last consultation I expressed the concern that a number of the therapists had not grasped the specific concepts of TFP and were using a more traditional and generic psychodynamic mode without the modifications that TFP brings to that approach. In summary, I question the idea that this is a study of SFT and TFP. It is actually a study of SFT and psychodynamic treatment as usual, where some of the psychodynamic therapists grasped the model of TFP and others did not.

Frank Yeomans, MD, PhD

Correspondence: Dr Yeomans, Weill Medical College of Cornell University, Banker Villa, 21 Bloomingdale Rd, White Plains, NY 10605 (fyeomans@nyc.rr.com).  
Financial Disclosure: None reported.

1. Giesen-Bloo J, van Dyck R, Spinhoven P, van Tilburg W, Dirksen C, van Asselt T, Kremers I, Nadort M, Arntz A. Outpatient psychotherapy for borderline personality disorder: randomized trial of schema-focused therapy vs transference-focused psychotherapy. *Arch Gen Psychiatry*. 2006;63:649-658.
2. Clarkin JF, Yeomans FE, Kernberg OF. *Psychotherapy for Borderline Personality*. New York, NY: John Wiley & Sons; 1999.

### In reply

With this response we want to clarify and, for the most, refute the issues raised by Dr Yeomans.

The role of Dr Yeomans as a consultant was described in the article.<sup>1(pp650-651)</sup> If Dr Yeomans means that he was not responsible for the individual treatments, he is correct; he was not a supervisor in that sense.

"Recent suicidality" and "parasuicidality," both based on several items within 1 Borderline Personality Disorder Severity Index, fourth version (BPDSI-IV), subscale, did not significantly influence dropout ( $P > .20$ ). If anything, suicidality was related to completing treatment (odds ratio = 1.7).

The gold standard nowadays is intention-to-treat analysis because, among other reasons, completers analysis might be biased in 2 respects. First, general outcome may be inflated when dropouts are not taken into account, if dropouts are dominated by patients who did not profit from treatments usually the case, at least in our trial. Second, analysis of treatments with differential dropout rates with poorer response, leads to a biased comparison between treatments.

For our trial is whether the relative recovery rate between completers and dropouts. In the surveys, the condition  $\times$  dropout status interaction failed significance (relative risk [RR] = 0.68; Wald statistic = .66). Thus, the difference between treatment recovery was very similar in the completers and

less, we report herein some completer analyses. These analyses will be reported in a submitted paper with follow-up results [J.G., R. van Dyck, MD, Spinhoven, PhD, W. van Tilburg, MD, PhD, C. Dirksen, PhD, van Asselt, MSc, I. Kremers, PhD, M. Nadort, unpublished data, December 2006]. First, a survey of the completers only on the recovery status the difference between schema-focused therapy and transference-focused therapy (TFP) remained in the same range as in the whole sample (RR = 1.77 vs 2.18).

The difference between treatments was no longer significant, but it is clear that this is more of a power than an effect size problem because RRs remained similar. Controlling for medication use (which was negatively associated with recovery), the difference became significant (RR = 4.01 [95% confidence interval, 1.35-11.99]; Wald statistic = 6.24;  $P = .01$ ). Adding recent self-mutilation and suicidal behavior at pretest as additional covariates yielded essentially the same results, with SFT having more and quicker recovery (RR = 4.44 [95% confidence interval, 1.50-13.12]; Wald statistic = 7.28;  $P = .007$ ). Lastly, Wilcoxon robust analysis of co-



## Evaluating Three Treatments for Borderline Personality Disorder: A Multiwave Study

John F. Clarkin, Ph.D.

Kenneth N. Levy, Ph.D.

Mark F. Lenzenweger, Ph.D.

Otto F. Kernberg, M.D.

**Objective:** The authors examined three year-long outpatient treatments for borderline personality disorder: dialectical behavior therapy, transference-focused psychotherapy, and a dynamic supportive treatment.

**Method:** Ninety patients who were diagnosed with borderline personality disorder

were significantly associated with improvement in suicidality. Only transference-focused psychotherapy and supportive treatment were associated with improvement in anger. Transference-focused psychotherapy and supportive treatment were each associated with improvement in facets of impulsivity.

- RCT of TFP vs. DBT vs. supportive treatment
- n=90
- 1 year of treatment
- Significant positive change in all groups:
  - depression, anxiety
  - global functioning, social adjustment
- Significant improvement:
  - TFP and DBT: suicidality
  - TFP and supportive: anger, facets of impulsivity
  - TFP: irritability, verbal and direct assault



John Clarkin

Stephan  
Doering

## Transference-focused psychotherapy v. treatment by community psychotherapists for borderline personality disorder: randomised controlled trial

Stephan Doering, Susanne Hörz, Michael Rentrop, Melitta Fischer-Kern, Peter Schuster, Cord Benecke, Anna Buchheim, Philipp Martius and Peter Buchheim

### Background

Transference-focused psychotherapy is a manualised treatment for borderline personality disorder.

### Aims

To compare transference-focused psychotherapy with treatment by experienced community psychotherapists.

### Method

In a randomised controlled trial (NCT00714311) 104 female out-patients were treated for 1 year with either transference-focused psychotherapy or by an experienced community psychotherapist.

### Results

Significantly fewer participants dropped out of the transference-focused psychotherapy group (38.5% v. 67.3%) and also significantly fewer attempted suicide ( $d=0.8$ ,  $P=0.009$ ). Transference-focused psychotherapy was significantly superior in the domains of borderline

symptomatology ( $d=1.6$ ,  $P=0.001$ ), ( $d=1.0$ ,  $P=0.002$ ), personality organisation and psychiatric in-patient admission groups improved significantly in the and anxiety and the transference-focused group in general psychopathology, group differences ( $d=0.3-0.5$ ). Self-harm did not change in either group.

### Conclusions

Transference-focused psychotherapy treatment by experienced community psychotherapists improved domains of borderline symptomatology, functioning, and personality organisation. There is preliminary evidence for a superior reduction in suicidality and need for psychiatric treatment.

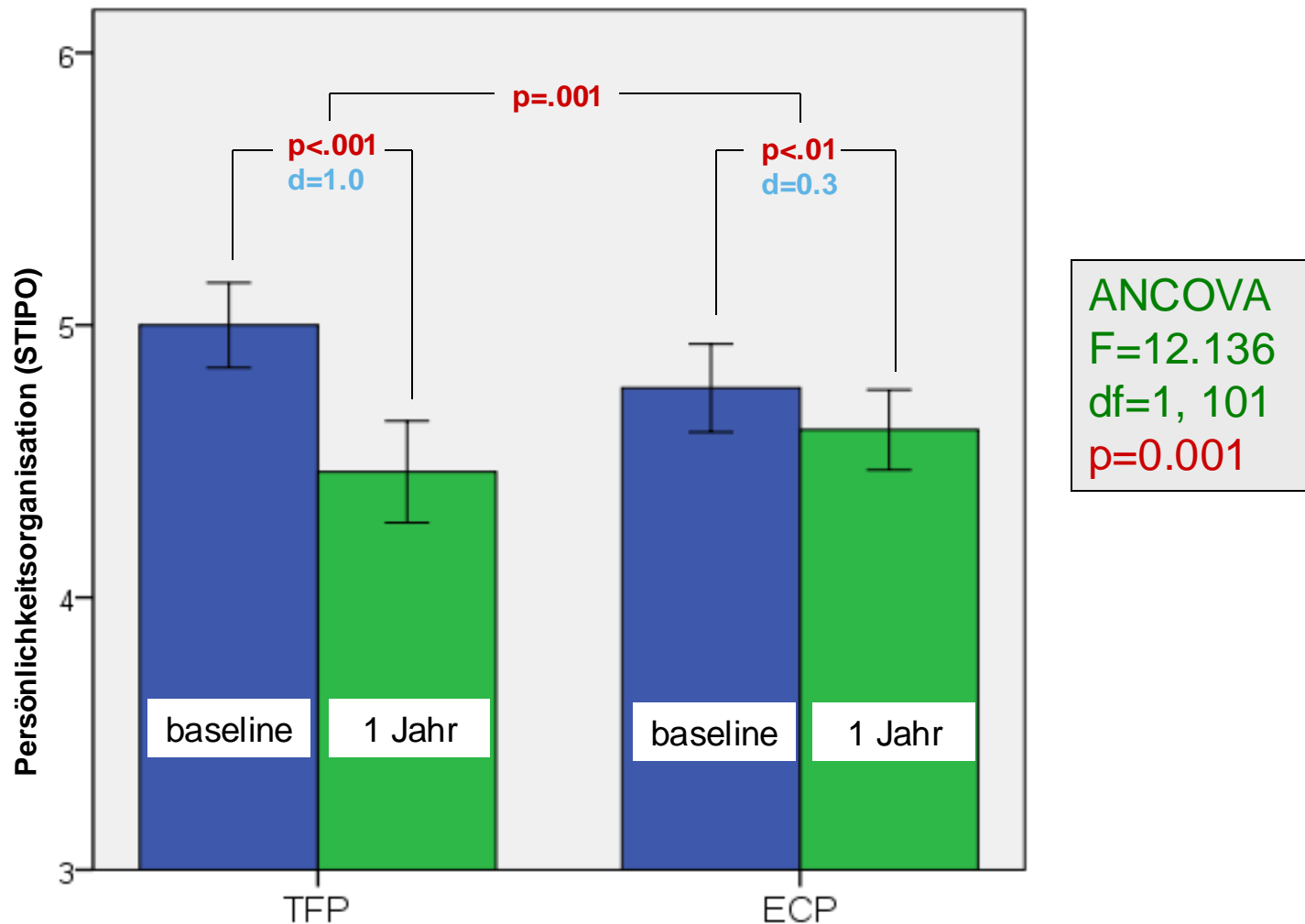
### Declaration of interest

None.

- RCT of TFP vs. treatment by experienced community psychotherapists (ECP)
- n=104
- Multi-center: Munich, Vienna
- 1 year
- Significant superiority of TFP
  - Reduction of suicide attempts
  - Drop-out rate (38,5% vs. 67,3%)
  - Reduction of DSM-IV criteria (BPD) and “remission“ (42,3% vs. 15,4%)
  - GAF-Score
  - Inpatient psychiatric treatment
- Significant improvements:
  - Anxiety, depression, general psychopathology
- No change in self-harming behavior

# Personality functioning

STIPO



Significantly higher improvement of personality functioning



# Mentalization

RF-Scale

## Change in Attachment Patterns and Reflective Function in a Randomized Control Trial of Transference-Focused Psychotherapy for Borderline Personality Disorder

Kenneth N. Levy, Kevin B. Meehan,  
Kristen M. Kelly, Joseph S. Reynoso, and  
Michal Weber  
City University of New York

John F. Clarkin and Otto F. Kernberg  
Joan and Sanford I. Weil Medical College of Cornell University

Changes in attachment organization and reflective function (RF) were assessed as putative mechanisms of change in 1 of 3 year-long psychotherapy treatments for patients with borderline personality disorder (BPD). Ninety patients reliably diagnosed with BPD were randomized to transference-focused psychotherapy (TFP), dialectical behavior therapy, or a modified psychodynamic supportive psychotherapy. Attachment organization was assessed with the Adult Attachment Interview and the RF coding scale. After 12 months of treatment, participants showed a significant increase in the number classified secure with respect to attachment state of mind for TFP but not for the other 2 treatments. Significant changes in narrative coherence and coherence of thought were observed for TFP but not for the other 2 treatments. Findings suggest that research should explore the mechanisms of change in TFP and identify treatment components that lead to the outcome of these changes.

*Keywords:* attachment

2006

Ken Levy



**Table 5**  
*Change in RF, Coherence, and Lack of Resolution of Loss and Trauma From Time 1 to Time 2*

Measure	TFP (N = 22)				DBT (N = 15)				SPT (N = 23)			
	Time 1		Time 2		Time 1		Time 2		Time 1		Time 2	
	M	SD	M	SD	M	SD	M	SD	M	SD	M	SD
RF	2.86	1.16	4.11	1.38	3.31	0.95	3.38	1.15	2.80	0.80	2.86	1.28
Coherence	2.93	1.34	4.02	1.69	3.00	1.64	3.25	1.41	3.25	1.33	3.16	1.20
Resolution of Loss	2.39	2.62	1.80	2.11	2.63	2.80	2.78	3.02	1.52	1.98	1.68	2.08
Resolution of Trauma	2.09	2.22	1.41	1.48	2.44	2.54	2.06	1.96	1.61	2.29	1.23	2.10

*Note.* TFP = transference-focused psychotherapy; DBT = dialectical behavior therapy; SPT = supportive psychotherapy; RF = reflective function.

Short report

# Transference-focused psychotherapy for borderline personality disorder: change in reflective function

Melitta Fischer-Kern, Stephan Doering, Svenja Taubner, Susanne Hörz, Johannes Zimmermann, Michael Rentrop, Peter Schuster, Peter Buchheim and Anna Buchheim

**Summary**

Borderline personality disorder is associated with deficits in personality functioning and mentalisation. In a randomised controlled trial 104 people with borderline personality disorder received either transference-focused psychotherapy (TFP) or treatment by experienced community therapists. Among other outcome variables, mentalisation was assessed by means of the Reflective Functioning Scale (RF Scale). Findings revealed only significant improvement in reflective function in the TFP group within 1 year. A between-group effect was of medium

Improvements in reflective function were significantly correlated with improvements in personality functioning.

**Declaration of interest**

M.R. has received fees from Janssen-Cilag, Bristol-Myers Squibb, AstraZeneca, Essex Pharma and Roche, unrelated to the present work.

**Table DS2** Paired t-tests and analyses of covariance of reflective function using multiple imputation

Measure	n	Transference-focused psychotherapy	
		Baseline 1 year, mean (s.d.)	Paired t-test t (d.f.)
<i>Last observation carried forward</i>	47		
Reflective function score			
Baseline		2.74 (1.28)	-2.998 (46)
1 year		3.15 (1.08)	
<i>Observed cases</i>	38		
Reflective function score			
Baseline		2.82 (1.29)	-3.062 (37)
1 year		3.32 (0.99)	
<i>Multiple imputation</i>	52		
Reflective function score			
Baseline		2.75 (1.26)	-4.047 (51)
1 year		3.31 (1.03)	

a. We used estimated marginal means (i.e. means controlled for baseline differences) and the pooled standard deviation on multiple imputation can be expected to be the most accurate because they take into account that missing values are not random, estimates based on



Melitta Fischer-Kern

Within-group effect size, *d*

0.37

0.51

0.54

Between-group effect size, *d*

0.34

0.39

0.45

Measure	n	Transference-focused psychotherapy		Paired t-test t (d.f.)	P	Between-group effect size, <i>d</i>
		Baseline 1 year, mean (s.d.)	Paired t-test t (d.f.)			
deal with missing values <sup>a</sup>						
treatment by experienced community therapists						
Reflective function score	47	2.69 (0.95)	-1.000 (44)	0.323	0.012	0.34
Baseline		2.76 (0.98)				
1 year						
Reflective function score	38	2.80 (0.96)	-1.000 (24)	0.327	0.043	0.39
Baseline		2.92 (1.00)				
1 year						
Reflective function score	52	2.68 (0.98)	-1.235 (51)	0.317	0.024	0.45
Baseline		2.82 (1.00)				
1 year						

Cohen's *d*. Since individuals who drop-out are not random, estimates based on demographic and clinical characteristics at baseline

# Attachment representation

AAI



# Change in Attachment Patterns and Reflective Function in a Randomized Control Trial of Transference-Focused Psychotherapy for Borderline Personality Disorder

Kenneth N. Levy, Kevin B. Meehan,  
Kristen M. Kelly, Joseph S. Reynoso, and  
Michal Weber  
City University of New York

John F. Clarkin and Otto F. Kernberg  
Joan and Sanford I. Weil Medical College of Cornell University

Changes in attachment organization and reflective function (RF) were assessed as putative mechanisms of change in 1 of 3 year-long psychotherapy treatments for patients with borderline personality disorder (BPD). Ninety patients reliably diagnosed with BPD were randomized to transference-focused psychotherapy (TFP), dialectical behavior therapy, or a modified psychodynamic supportive psychotherapy. Attachment organization was assessed with the Adult Attachment Interview and the RE coding scale. After 12 months of treatment, participants showed significant changes in attachment state of mind for TFP, DBT, and SPT. Significant changes in RF were found as a function of treatment group. No changes in resolution of attachment and RF were found as a function of treatment group. Findings suggest that 1 year of intensive TFP can lead to significant changes in attachment and RF. Further research should establish the relationship between attachment and RF and identify treatment components responsible for these changes.

*Keywords:* attachment, reflective function, borderline personality disorder



Ken Levy

Table 3

Association Between Attachment Security Time 1 and Time 2 as a Function of Treatment Group

Time 2 attachment	Time 1 attachment						Total	$\chi^2$
	TFP		DBT		SPT			
	Secure	Insecure	Secure	Insecure	Secure	Insecure		
Secure	1 (100%)	6 (28.6%)	1 (100%)	0 (0%)	1 (100%)	0 (0%)	9	8.25**
Insecure	0 (0%)	15 (71.4%)	0 (0%)	14 (100%)	0 (0%)	22 (100%)	51	
Total	1	21	1	15	1	21	60	

*Note.* TFP = transference-focused psychotherapy; DBT = dialectical behavior therapy; SPT = supportive psychotherapy. Percentages are for the columns.

\*\*  $p < .02$ .

Received: July 25, 2016  
Accepted after revision: February 6, 2017  
Published online: September 14, 2017

Psychother Psychosom 2017;86:314–316  
DOI: 10.1159/000460257

**Change of Unresolved Attachment in Borderline Personality Disorder: RCT Study of Transference-Focused Psychotherapy**

Anna Buchheim<sup>a</sup>, Susanne Hörz-Sagstetter<sup>c</sup>, Stephan Doering<sup>b</sup>, Michael Rentrop<sup>d</sup>, Peter Schuster<sup>b</sup>, Peter Buchheim<sup>d</sup>, Dan Pokorny<sup>e</sup>, Melitta Fischer-Kern<sup>b</sup>

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**Table 1.** Changes between insecure and secure respective unresolved and organized attachment represent outcome (intent-to-treat LOCF and OC analyses)

		ECP				TFP								
		exact McNemar test				exact McNemar test								
		OC = LOCF				OC = LOCF								
<b>(a) Security</b>				T2				T2						
T1	Ins	21	0 <sup>a</sup>	21	T1	Ins	24	12 <sup>a</sup>	36					
	Sec	1 <sup>b</sup>	3	4		Sec	0 <sup>b</sup>	2	2					
	all	22	3	25		all	24	14	38					
		McNemar – 0:1 <i>p</i> = 1.000				McNemar – 12:0 <i>p</i> < 0.001								
<b>(b) Unresolved trauma</b>				T2				T2						
T1	U	10	3 <sup>a</sup>	13	T1	U	4	17 <sup>a</sup>	21					
	Org	0 <sup>b</sup>	12	12		Org	0 <sup>b</sup>	17	17					
	all	10	15	25		all	4	34	38					
		McNemar – 3:0 <i>p</i> = 0.250				McNemar – 17:0 <i>p</i> < 0.001								
<b>(c) Coherence scale</b>		Baseline	<i>t</i> test			Baseline	<i>t</i> test			Effect size				
		1 year	pre-post			1 year	pre-post							
		mean ± SD	<i>t</i>	df	<i>p</i>	d	mean ± SD	<i>t</i>	df	<i>p</i>	d			
<b>OC</b>		(n = 25)				(n = 38)				(N = 63)				
T1		2.88±1.24	2.377	24	0.026	0.32	2.71±1.06	9.381	37	<0.001	1.27	21.964	1, 61	0.048
T2		3.24±0.97					4.11±1.13							
<b>LOCF</b>		(n = 45)				(n = 47)				(N = 92)				
T1		2.80±1.14	2.283	44	0.027	0.18	2.64±1.03	7.796	46	<0.001	0.97	29.462	1, 90	<0.001
T2		3.00±1.02					3.77±1.29							

Group comparison		
<i>N</i>	<i>z</i>	<i>p</i>
OC		
63	3.260	0.001
LOCF		
92	3.719	<0.001
OC		
63	2.709	0.012
LOCF		
92	3.411	<0.001

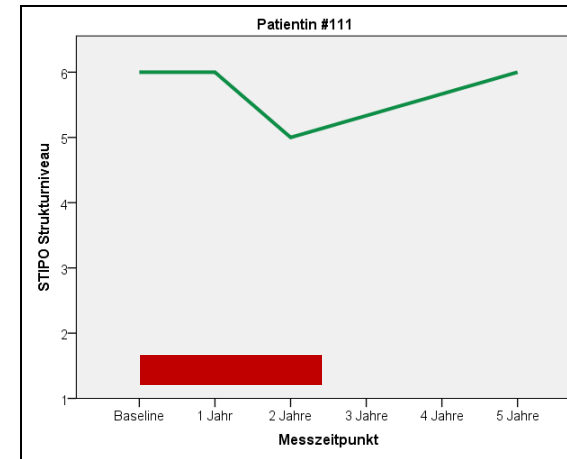
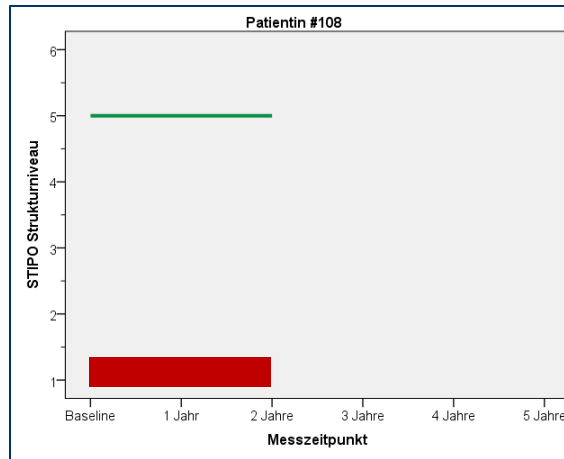
Group comparison was done with the exact Mann-Whitney *U* test. T1, baseline; T2, after 1 year of treatment; ECP, experienced community psychotherapy; TFP, transference-focused psychotherapy; Ins = insecure, Sec = secure, U = Unresolved, Org = organized (non-U); OC, observed cases, LOCF, last observation carried forward; *p*, significance (2-sided); *t*, *t*-statistic for the paired *t* test; df, degrees of freedom; d, Cohen's effect size. <sup>a</sup> worsened, <sup>b</sup> improved.



Anna Buchheim

# Long-term effects

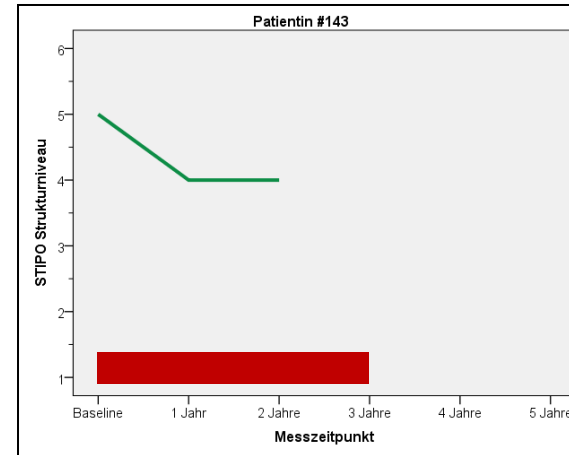
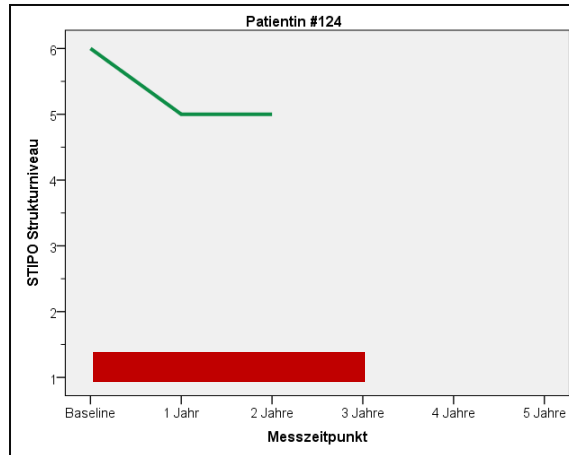
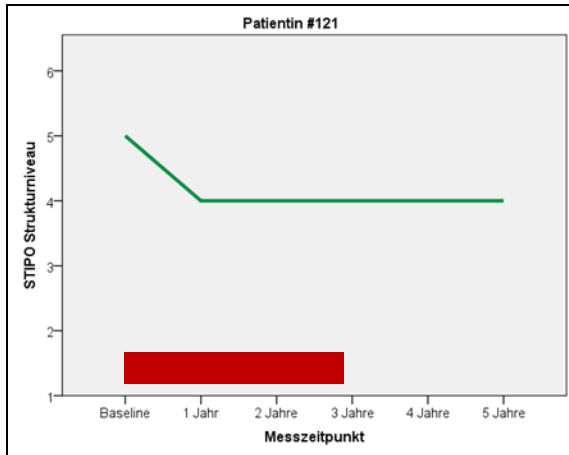
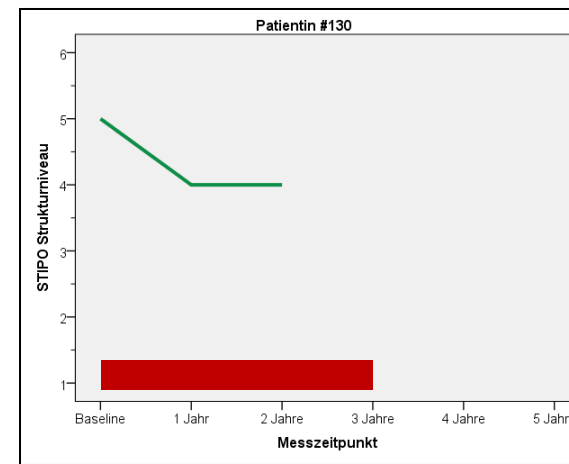
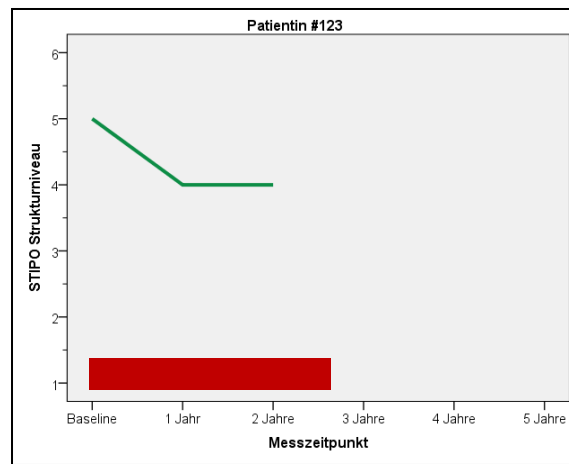
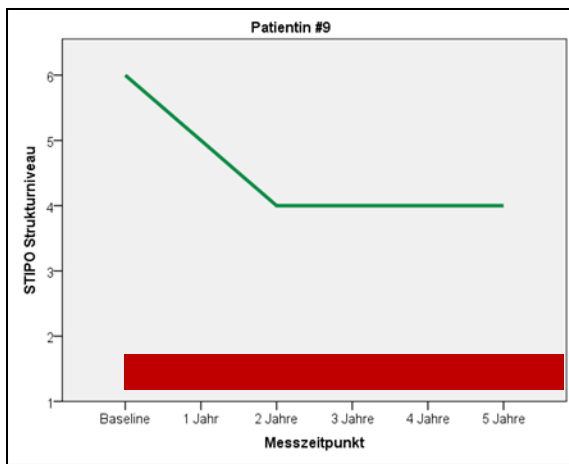
STIPO



## Transference-Focused Psychotherapy (TFP)

11,1% no improvement



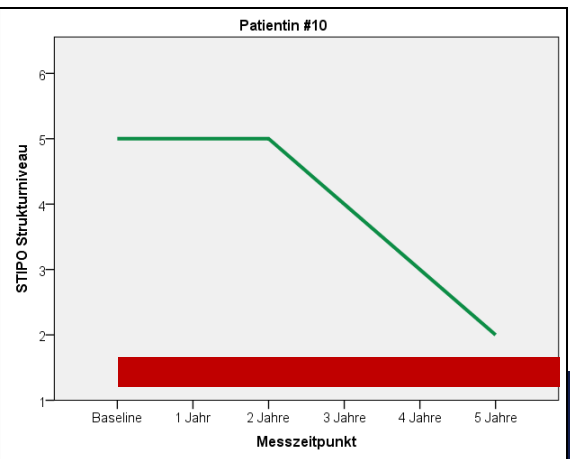
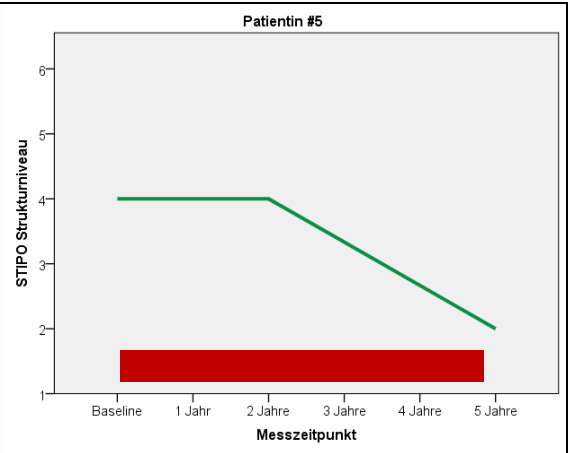
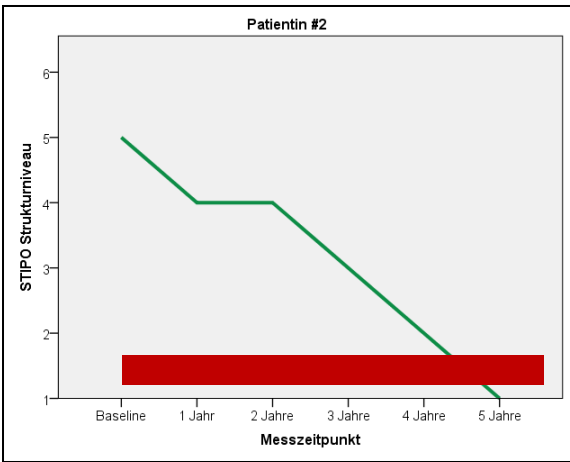
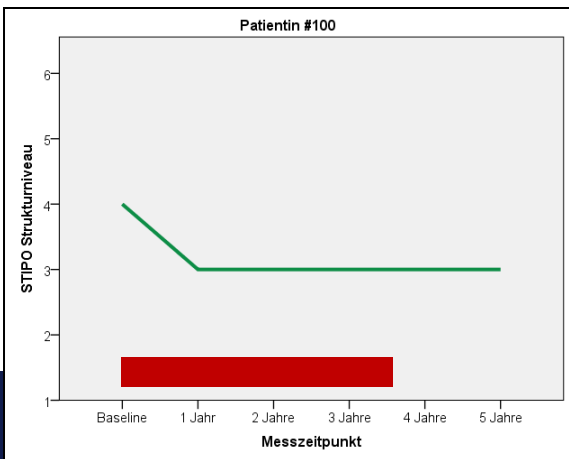
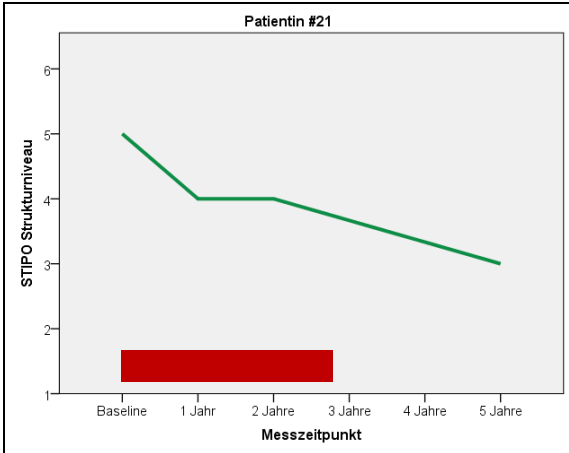
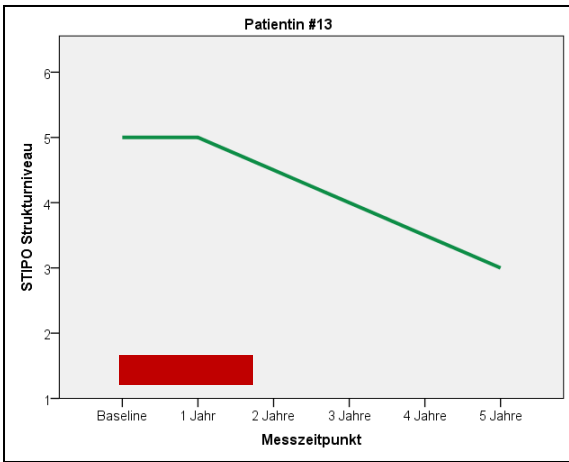
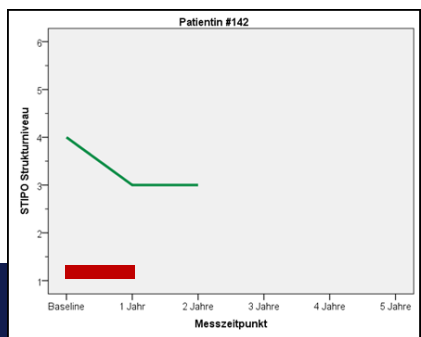
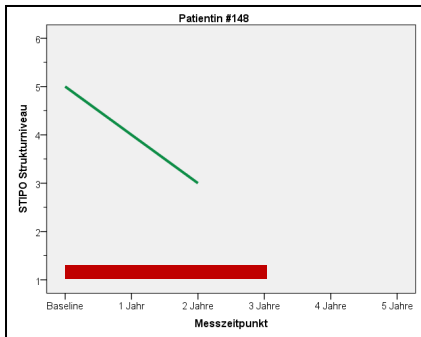
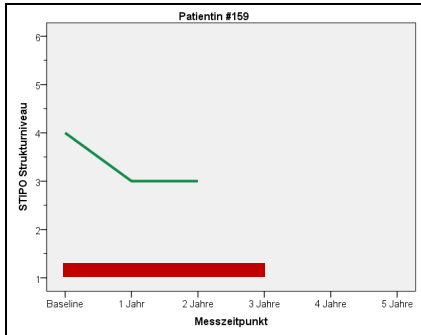
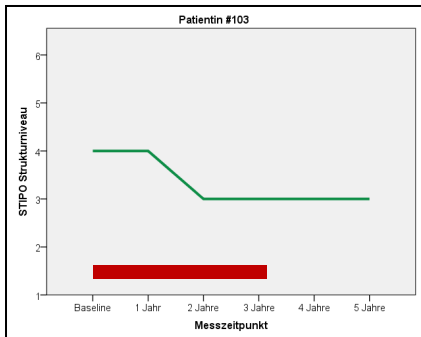


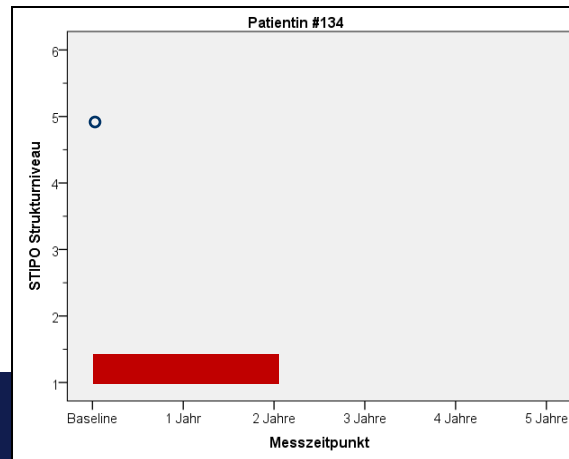
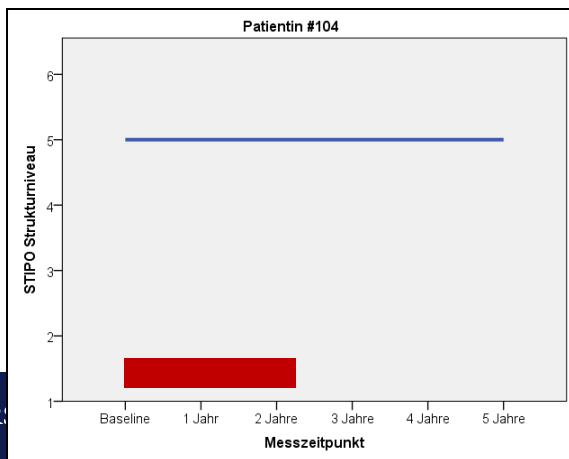
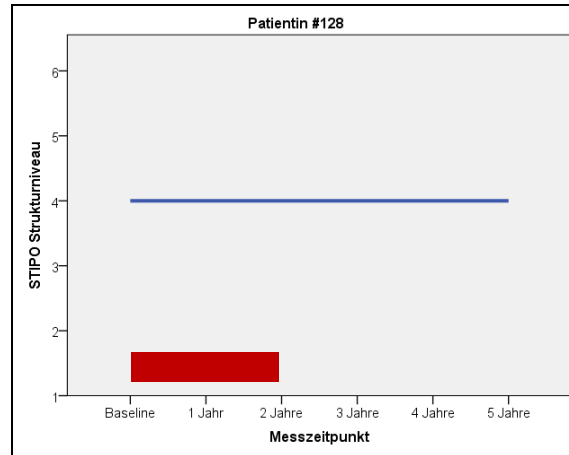
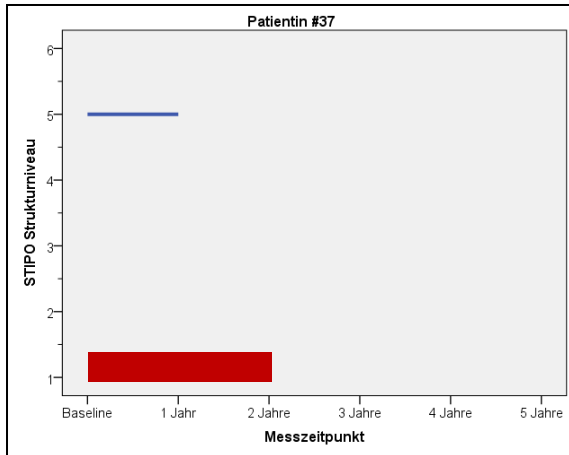
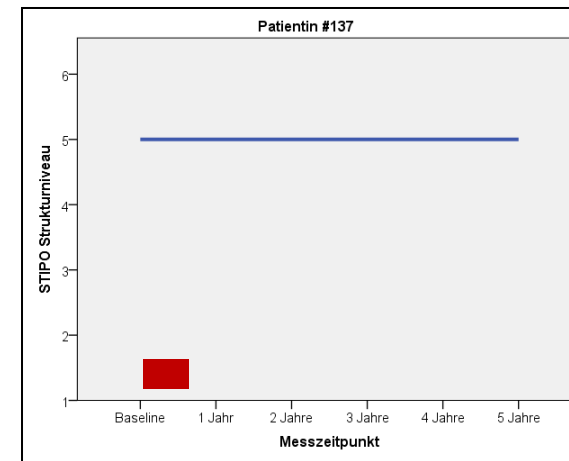
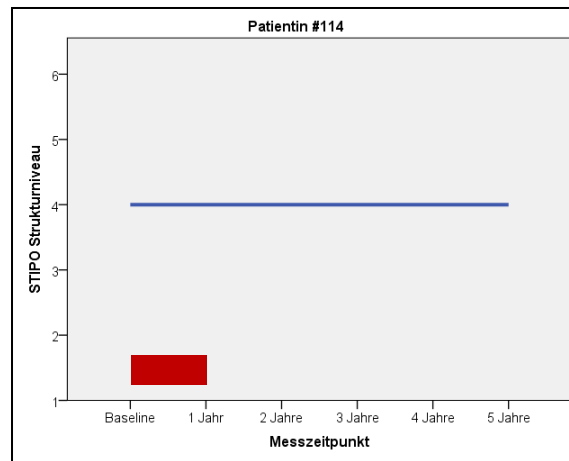
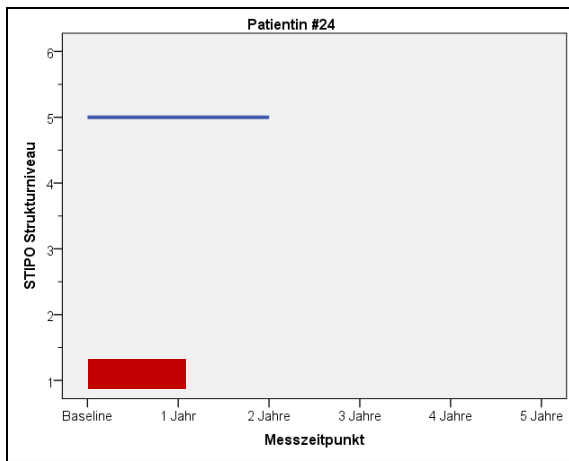
## Transference-Focused Psychotherapy (TFP)

33,3% improvement, still borderline functioning

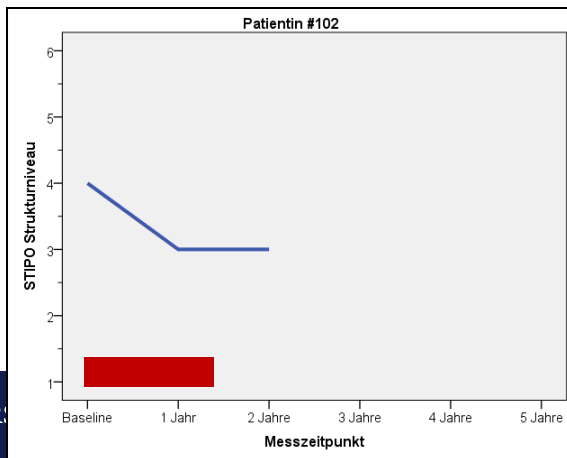
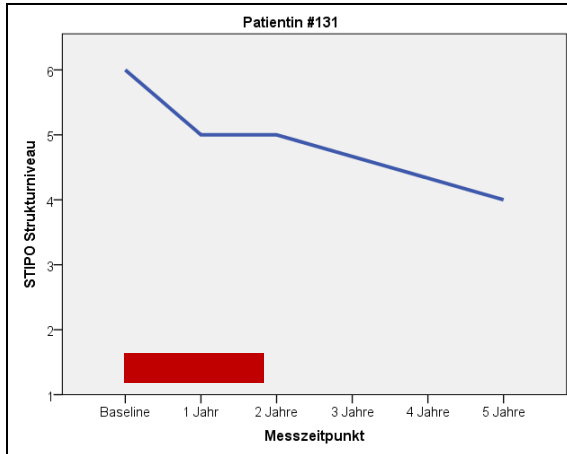
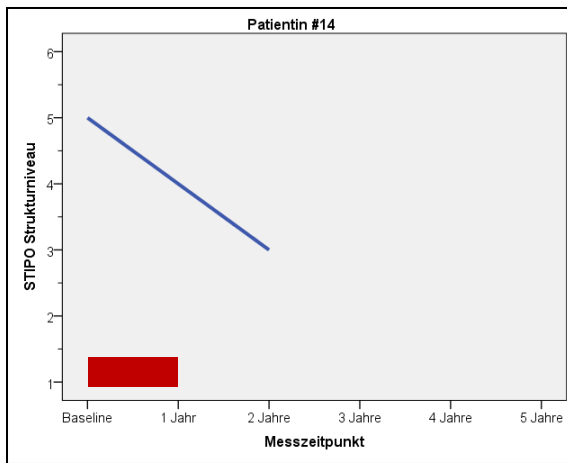
# Transference-Focused Psychotherapy (TFP)

55,6% improvement, neurotic functioning





Treatment by  
experienced  
community  
psychotherapists  
70% no improvement



# Treatment by experienced community psychotherapists

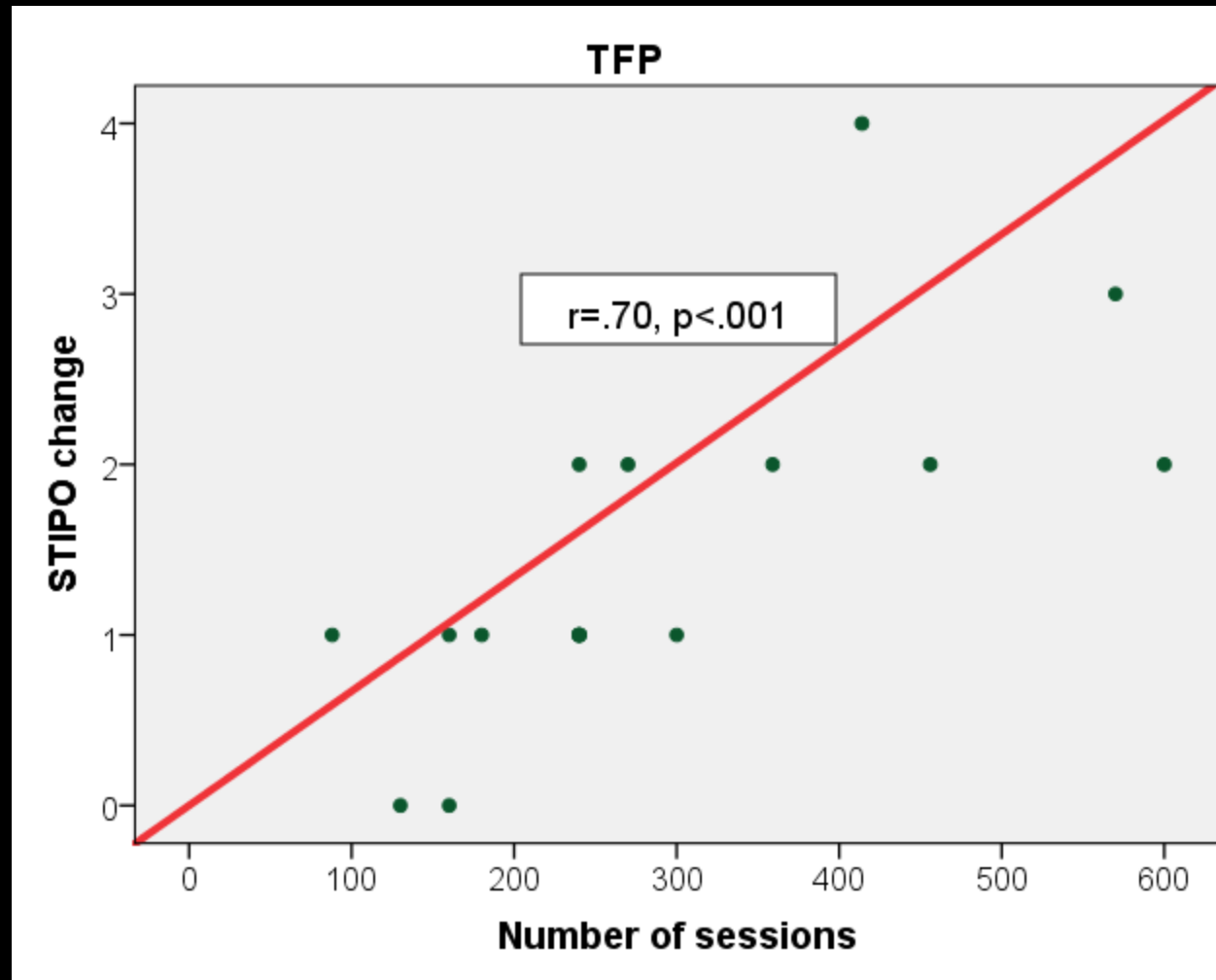
20% improvement, neurotic functioning  
10% improvement, still borderline functioning



TFP: 55.5% achieve a neurotic level of personality organization

TAU: 20.0% achieve a neurotic level of personality organization

# Dose effect



Compared to the other  
borderline treatments, the strength of TFP  
might be its potential to change personality  
functioning and attachment status. TFP might  
be particularly indicated in patients with  
problems in the domains of **interpersonal  
relationships** and **social adaptation**.

# Inpatient TFP



# Change in Identity Diffusion and Psychopathology in a Specialized Inpatient Treatment for Borderline Personality Disorder

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 Puspita Agarwalla,<sup>3</sup> Cord Benecke,<sup>4</sup> Oliver Schwald,<sup>5</sup> Joachim Küchenhoff,<sup>1,3</sup>  
 Marc Walter<sup>1</sup> and Gerhard Dammann<sup>1,2</sup>

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<sup>4</sup> Institute of Psychology, University of Kassel, Kassel, Germany

<sup>5</sup> Outpatient Clinic for Victims of Torture and War, Swiss Red Cross



Daniel Sollberger



Gerhard Dammann

**Objectives:** Patients with borderline personality disorder symptoms and suffer especially from disturbance in the investigate changes—particularly in affective BPD structured, disorder-specific inpatient treatment (DST) focused psychotherapy approach with modules of dialectionnaires addressing identity diffusion and state, as well and after 12 weeks of inpatient treatment. Thirty-two patients given inpatient treatment-as-usual (TAU). The patients were two groups, in order of admission and availability of treatment. **Results:** In the pre-post-comparison, the DST group showed ( $p < 0.001$ ) and improvements in instability of the image ological (trait and state) symptoms. However, there was no. **Conclusions:** After a 12-week inpatient treatment, the find DST group in typical affective borderline symptomatology identity diffusion. This highlights the significance of a s

Table 2. Between-group comparisons at pre-treatment

	DST ( $n = 32$ )	TAU ( $n = 12$ )	$p$
IPO (theoretical construct), mean (SD)			
Identity diffusion	62.71 (12.81)	47.75 (13.74)	$t = 3.70, p = 0.001$
Primitive defences	43.42 (8.94)	37.41 (9.08)	$t = 2.19, p = 0.034$
Reality testing	41.19 (12.67)	38.83 (16.66)	ns
Aggression	34.11 (8.21)	32.27 (7.12)	ns
Moral values	25.59 (7.80)	21.83 (5.94)	ns
IPO (empirically evaluated four-factor structure)*, mean (SD)			
Instability in self and others	94.41 (17.95)	75.33 (19.90)	$t = 3.31, p = 0.002$
Instability in goals	5.61 (2.38)	4.75 (2.65)	ns
Psychosis	21.42 (8.65)	20.67 (9.70)	ns
Instability in behaviour	19.10 (5.56)	16.40 (6.81)	ns
STAXI, mean (SD)			
State anger	18.56 (8.07)	15.00 (6.83)	$Z = -2.12, p = 0.034$
Trait anger	22.10 (7.30)	18.67 (6.27)	ns
STAI, mean (SD)			
State anxiety	56.25 (11.0)	50.70 (14.86)	ns
Trait anxiety	58.33 (8.65)	53.58 (14.22)	ns
BDI, mean (SD)			
Depression score	27.16 (9.16)	23.64 (12.92)	ns

\*Ellison & Levy, 2012

DST = disorder-specific treatment. TAU = treatment as usual. IPO = Inventory of Personality Organisation. STAXI = State-Trait Anger Expression Inventory. STAI = State-Trait Anxiety Inventory. SD = standard deviation. ns = non-significant.

# TFP-A

# Reduktion selbstverletzenden Verhaltens bei Jugendlichen mit Borderline-Persönlichkeitsorganisation mittels der übertragungsfokussierten Psychotherapie

Cecily Jahn, Esma Wieacker, Stephan Bender und Maya Krischer

## Summary

*Reduction of Non-Suicidal Self-Injury (NSSI) in Adolescents with Borderline Personality Organization Treated with TFP-A*

This study examines whether transference-focused psychotherapy for adolescents (TFP-A) in a dayclinic setting increases the capability to regulate affects and decreases self-destructive behavior in adolescents with borderline personality organization in comparison to treatment as usual (TAU). A total of 120 adolescents consecutively presenting to the dayclinic were allocated to either TFP-A or TAU. They were assessed for aggression, irritability, depression, self-harm, internalizing behavior and pathological personality traits at baseline and after twelve weeks. TFP-A was more effective than TAU in reducing self-harm. Aggression and irritability was improved within the treatment group. These results can be explained by an improvement in affect regulation through a treatment with TFP-A in a dayclinic setting. Further research is necessary in order to assess whether TFP-A reduces self-harm, aggressive behavior and irritability from a long-term perspective and whether these exploratory results can be replicated in independent samples.

*Prax. Kinderpsychol. Kinderpsychiat. 70/2021, 728-747*

## Keywords

personality disorders – adolescence – dayclinic treatment – affect regulation – self-harm

## Zusammenfassung

Die Studie vergleicht die Reduktion von selbstverletzenden Verhalten bei Jugendlichen mit Borderline-Persönlichkeitsorganisation (BPO) durch eine übertragungsfokussierte Psychotherapie (TFP-A) in einer Tagesklinik mit einer Behandlung wie üblich (TAU). Insgesamt wurden 120 Jugendliche, die nacheinander in die Tagesklinik kamen, entweder TFP-A oder TAU zugeteilt. Sie wurden zu Beginn und nach zwölf Wochen auf Aggressivität, Reizbarkeit, Depression, Selbstverletzung, internalisierendes Verhalten und pathologische Persönlichkeitsmerkmale untersucht. TFP-A erwies sich als wirksamer als TAU in der Reduktion von selbstverletzenden Verhalten. Aggression und Reizbarkeit wurden innerhalb der Behandlungsgruppe verbessert. Diese Ergebnisse können durch eine Verbesserung der Affektregulation durch eine Behandlung mit TFP-A in einer Tagesklinik erklärt werden. Weitere Forschung ist notwendig, um zu beurteilen, ob TFP-A die Reduktion von selbstverletzenden Verhalten, aggressives Verhalten und Reizbarkeit langfristig bewirkt und ob diese explorativen Ergebnisse in unabhängigen Stichproben repliziert werden können.

Die Jugendlichen wurden zu Beginn und nach zwölf Wochen auf Aggressivität, Reizbarkeit, Depressivität, Selbstverletzung, internalisierendes Verhalten und pathologische Persönlichkeitsmerkmale untersucht. TFP-A erwies sich als wirksamer als TAU in der Reduktion von

Prax. Kinderpsychol. Kinderpsychiat. 70: 728 – 747 (2021), ISSN: 0032-7034 (print), 2196-8225 (online)  
© 2021 Vandenhoeck & Ruprecht, <https://doi.org/10.13109/prkk.2021.70.8.728>



Cecily Jahn



Maya Krischer

# TFP for Groups



## Developments in Group Transference-Focused Psychotherapy

María Jesús Rufat, M.Sc., Jonathan Radcliffe, M.Sc., Tennyson Lee, M.D., Philipp Martius, M.D., Eric Fertuck, Ph.D., Iván Arango, M.D., Heimhild Lappe, M.D., Eulàlia Ripoll, M.D., and Frank E. Yeomans, Ph.D.

### Abstract

Several evidence-based psychotherapies for personality disorders have been developed in recent decades, including transference-focused psychotherapy (TFP), a contemporary model of psychodynamic psychotherapy developed by Otto Kernberg. Kernberg established Group TFP (TFP-G) as an alternative or adjunct treatment to individual TFP. Although not yet manualized, TFP-G is used in publicly and privately funded mental health services, including outpatient clinics, subacute hospitals, therapeutic inpatient units, partial hospitalization services, and rehabilitation services serving people with borderline personality. Kernberg's model of TFP-G psychotherapy, its application in clinical settings, and what differentiates it from other group psychotherapy models is described as well as illustrated with some examples useful to practitioners.

*Keywords:* borderline personality organization, borderline personality disorder, mental health services, group psychotherapy, TFP, TFP-G

Psychotherapies for patients with personality disorders have evolved significantly in recent decades. People with personality disorders were at one time widely seen as untreatable. However, recent decades have seen the development of specialized, evidence-based psychotherapies to serve this population. Five specialized psychotherapies with an established evidence base are dialectical behavior therapy (DBT; Linehan, 1993), mentalization-based treatment (MBT; Bateman & Fonagy, 2006), transference-focused psychotherapy (TFP; Yeomans et al., 2015), schema therapy (ST; Young et al., 2006), and systems training for emotional predictability and problem solving (STEPPS; Blum et al., 2002). DBT, ST, and STEPPS are specialized cognitive-behavioral approaches.

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Psychodynamic Psychiatry, 51(3), 311–329, 2023  
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<https://doi.org/10.1521/pdps.2023.51.3.311>



Jonathan  
Radcliffe



Maria Jesus Rufat



# TFP for Couples

J

Vol. XX / No. X

Barry L. Stern

## The “Fact of the Matter”: A Model for Working with Activated Internal Object Relations in Psychodynamic Couple Therapy

Extensive clinical scholarship has described the application of object-relational principles, particularly the operation of projective identification, to psychodynamic psychotherapy with couples. The author explores the way in which a more complete depiction of projective processes, one that incorporates each partner’s intrapersonal management of multiple internal object relations, interacting interpersonally in the couple therapy process, can explain the escalating cycles of conflict between couples that are elaborated in the family-systems literature, and be helpful in understanding the object-relational substrate of chronic conflict in couples more generally. A description of how to map each partner’s internal object world through the identification of these cycles in the early couple therapy process is elaborated in a theoretical model and illustrated with case material.

Keywords: couples, psychodynamic psychotherapy, object relations, projective identification, family systems

### OBJECT RELATIONS IN COUPLE THERAPY: INTRODUCTION

For the modern Kleinian analyst, it is the manifestation of the patient’s internal object relations as they become enacted between patient and therapist that defines a “clinical fact,” and which becomes the primary object of interest in the clinical situation (Caper 1994; Joseph 1988; Riesenberg-Malcolm 1994). Similarly, as an object-relations-oriented

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DOI: 10.1177/00030651241257525

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Thank you very much  
for your attention!